

# First-order elliptic boundary value problems beyond self-adjoint adapted boundary operators

Lashi Bandara  
(joint with Christian Bär)

Institut für Mathematik  
Universität Potsdam

31 July 2018



# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

$$\mathcal{W} := TM \otimes \Delta M \cong \Delta M \oplus \Delta_{\frac{3}{2}} M.$$

# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

$$\mathcal{W} := TM \otimes \Delta M \cong \Delta M \oplus \Delta_{\frac{3}{2}} M.$$

Induced Dirac operator

# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

$$\mathcal{W} := TM \otimes \Delta M \cong \Delta M \oplus \Delta_{\frac{3}{2}} M.$$

Induced Dirac operator

$$\mathcal{D}_{\mathcal{W}} = \begin{pmatrix} \mathcal{D} & \mathcal{T}^* \\ \mathcal{T} & \mathcal{D}_{\text{RS}} \end{pmatrix}.$$

# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

$$\mathcal{W} := TM \otimes \Delta M \cong \Delta M \oplus^{\perp} \Delta_{\frac{3}{2}} M.$$

Induced Dirac operator

$$\mathcal{D}_{\mathcal{W}} = \begin{pmatrix} \mathcal{D} & \mathcal{T}^* \\ \mathcal{T} & \mathcal{D}_{\text{RS}} \end{pmatrix}.$$

Natural questions:

# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

$$\mathcal{W} := TM \otimes \Delta M \cong \Delta M \oplus \Delta_{\frac{3}{2}} M.$$

Induced Dirac operator

$$\mathcal{D}_{\mathcal{W}} = \begin{pmatrix} \mathcal{D} & \mathbb{T}^* \\ \mathbb{T} & \mathcal{D}_{\text{RS}} \end{pmatrix}.$$

Natural questions:

★ Boundary conditions?

# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

$$\mathcal{W} := TM \otimes \Delta M \cong \Delta M \oplus \Delta_{\frac{3}{2}} M.$$

Induced Dirac operator

$$\mathcal{D}_{\mathcal{W}} = \begin{pmatrix} \mathcal{D} & \mathbb{T}^* \\ \mathbb{T} & \mathcal{D}_{\text{RS}} \end{pmatrix}.$$

Natural questions:

★ Boundary conditions? Ellipticity?



# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

$$\mathcal{W} := TM \otimes \Delta M \cong \Delta M \oplus \Delta_{\frac{3}{2}} M.$$

Induced Dirac operator

$$\mathcal{D}_{\mathcal{W}} = \begin{pmatrix} \mathcal{D} & \mathcal{T}^* \\ \mathcal{T} & \mathcal{D}_{\text{RS}} \end{pmatrix}.$$

Natural questions:

★ Boundary conditions? Ellipticity? Self-adjointness?

# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

$$\mathcal{W} := TM \otimes \Delta M \cong \Delta M \oplus \Delta_{\frac{3}{2}} M.$$

Induced Dirac operator

$$\mathcal{D}_{\mathcal{W}} = \begin{pmatrix} \mathcal{D} & \mathbb{T}^* \\ \mathbb{T} & \mathcal{D}_{\text{RS}} \end{pmatrix}.$$

Natural questions:

- ★ Boundary conditions? Ellipticity? Self-adjointness? Regularity of solutions?

# Motivation

$M$  Riemannian Spin manifold, smooth compact boundary  $\Sigma$ ,

$$\mathcal{W} := TM \otimes \Delta M \cong \Delta M \oplus \Delta_{\frac{3}{2}} M.$$

Induced Dirac operator

$$\mathcal{D}_{\mathcal{W}} = \begin{pmatrix} \mathcal{D} & \mathbb{T}^* \\ \mathbb{T} & \mathcal{D}_{\text{RS}} \end{pmatrix}.$$

Natural questions:

- ★ Boundary conditions? Ellipticity? Self-adjointness? Regularity of solutions?
- ★ Fredholmness and index theorems?

# Adapted operator

Boundary adapted operator  $A_{RS}$  to  $\mathcal{D}_{RS}$  on  $\Delta_{\frac{3}{2}} \Sigma := \Delta_{\frac{3}{2}} M|_{\Sigma}$ .

# Adapted operator

Boundary adapted operator  $A_{RS}$  to  $\mathcal{D}_{RS}$  on  $\Delta_{\frac{3}{2}}\Sigma := \Delta_{\frac{3}{2}}M|_{\Sigma}$ .

Principal symbol:

$$\sigma_{A_{RS}}(x, \xi) = \sigma_{\mathcal{D}_{RS}}(x, \tau(x))^{-1} \circ \sigma_{\mathcal{D}_{RS}}(x, \xi).$$

## Adapted operator

Boundary adapted operator  $A_{RS}$  to  $\mathcal{D}_{RS}$  on  $\Delta_{\frac{3}{2}}\Sigma := \Delta_{\frac{3}{2}}M|_{\Sigma}$ .

Principal symbol:

$$\sigma_{A_{RS}}(x, \xi) = \sigma_{\mathcal{D}_{RS}}(x, \tau(x))^{-1} \circ \sigma_{\mathcal{D}_{RS}}(x, \xi).$$

Fundamental assumption in the Bär-Ballmann framework [BB12]:

$A_{RS}$  is a symmetric operator.

# Adapted operator

Boundary adapted operator  $A_{RS}$  to  $\mathcal{D}_{RS}$  on  $\Delta_{\frac{3}{2}}\Sigma := \Delta_{\frac{3}{2}}M|_{\Sigma}$ .

Principal symbol:

$$\sigma_{A_{RS}}(x, \xi) = \sigma_{\mathcal{D}_{RS}}(x, \tau(x))^{-1} \circ \sigma_{\mathcal{D}_{RS}}(x, \xi).$$

Fundamental assumption in the Bär-Ballmann framework [BB12]:

$A_{RS}$  is a symmetric operator.

Boundary value problems for  $\mathcal{D}_{RS}$  “live” in:

## Adapted operator

Boundary adapted operator  $A_{RS}$  to  $\mathcal{D}_{RS}$  on  $\Delta_{\frac{3}{2}}\Sigma := \Delta_{\frac{3}{2}}M|_{\Sigma}$ .

Principal symbol:

$$\sigma_{A_{RS}}(x, \xi) = \sigma_{\mathcal{D}_{RS}}(x, \tau(x))^{-1} \circ \sigma_{\mathcal{D}_{RS}}(x, \xi).$$

Fundamental assumption in the Bär-Ballmann framework [BB12]:

$A_{RS}$  is a symmetric operator.

Boundary value problems for  $\mathcal{D}_{RS}$  “live” in:

$$\check{H}(A_{RS}) := \chi_{(-\infty, 0]}(A_{RS})H^{\frac{1}{2}}(\Delta_{\frac{3}{2}}\Sigma) \quad \bigoplus \quad \chi_{(0, \infty)}(A_{RS})H^{-\frac{1}{2}}(\Delta_{\frac{3}{2}}\Sigma),$$



# Adapted operator

Boundary adapted operator  $A_{RS}$  to  $\mathcal{D}_{RS}$  on  $\mathcal{A}_{\frac{3}{2}}\Sigma := \mathcal{A}_{\frac{3}{2}}M|_{\Sigma}$ .

Principal symbol:

$$\sigma_{A_{RS}}(x, \xi) = \sigma_{\mathcal{D}_{RS}}(x, \tau(x))^{-1} \circ \sigma_{\mathcal{D}_{RS}}(x, \xi).$$

Fundamental assumption in the Bär-Ballmann framework [BB12]:

$A_{RS}$  is a symmetric operator.

Boundary value problems for  $\mathcal{D}_{RS}$  “live” in:

$$\check{H}(A_{RS}) := \chi_{(-\infty, 0]}(A_{RS})H^{\frac{1}{2}}(\mathcal{A}_{\frac{3}{2}}\Sigma) \quad \bigoplus \quad \chi_{(0, \infty)}(A_{RS})H^{-\frac{1}{2}}(\mathcal{A}_{\frac{3}{2}}\Sigma),$$

⊛ Rarita-Schwinger  $\mathcal{D}_{RS}$  does not give rise to a symmetric  $A_{RS}$ .

# General setup

(A1)  $M$  is a manifold with compact boundary  $\Sigma = \partial M$ ;

# General setup

(A1)  $M$  is a manifold with compact boundary  $\Sigma = \partial M$ ;

(A2)  $\tau$  is an interior co-vectorfield along  $\partial M$ ;

# General setup

- (A1)  $M$  is a manifold with compact boundary  $\Sigma = \partial M$ ;
- (A2)  $\tau$  is an interior co-vectorfield along  $\partial M$ ;
- (A3)  $\mu$  is a smooth volume measure on  $M$  and  $\nu$  is the induced smooth volume measure on  $\Sigma$ ;

# General setup

- (A1)  $M$  is a manifold with compact boundary  $\Sigma = \partial M$ ;
- (A2)  $\tau$  is an interior co-vectorfield along  $\partial M$ ;
- (A3)  $\mu$  is a smooth volume measure on  $M$  and  $\nu$  is the induced smooth volume measure on  $\Sigma$ ;
- (A4)  $(\mathcal{E}, h^{\mathcal{E}}), (\mathcal{F}, h^{\mathcal{F}}) \rightarrow M$  are Hermitian vector bundles over  $M$ ;

# General setup

- (A1)  $M$  is a manifold with compact boundary  $\Sigma = \partial M$ ;
- (A2)  $\tau$  is an interior co-vectorfield along  $\partial M$ ;
- (A3)  $\mu$  is a smooth volume measure on  $M$  and  $\nu$  is the induced smooth volume measure on  $\Sigma$ ;
- (A4)  $(\mathcal{E}, h^{\mathcal{E}}), (\mathcal{F}, h^{\mathcal{F}}) \rightarrow M$  are Hermitian vector bundles over  $M$ ;
- (A5)  $D : C^{\infty}(\mathcal{E}) \rightarrow C^{\infty}(\mathcal{F})$  is a first-order elliptic differential operator;

# General setup

- (A1)  $M$  is a manifold with compact boundary  $\Sigma = \partial M$ ;
- (A2)  $\tau$  is an interior co-vectorfield along  $\partial M$ ;
- (A3)  $\mu$  is a smooth volume measure on  $M$  and  $\nu$  is the induced smooth volume measure on  $\Sigma$ ;
- (A4)  $(\mathcal{E}, h^{\mathcal{E}}), (\mathcal{F}, h^{\mathcal{F}}) \rightarrow M$  are Hermitian vector bundles over  $M$ ;
- (A5)  $D : C^\infty(\mathcal{E}) \rightarrow C^\infty(\mathcal{F})$  is a first-order elliptic differential operator;
- (A6)  $D$  and  $D^*$  are complete (i.e.,  $C_c^\infty(E)$  dense in  $\mathcal{D}(D_{\max})$ ).

# General setup

- (A1)  $M$  is a manifold with compact boundary  $\Sigma = \partial M$ ;
- (A2)  $\tau$  is an interior co-vectorfield along  $\partial M$ ;
- (A3)  $\mu$  is a smooth volume measure on  $M$  and  $\nu$  is the induced smooth volume measure on  $\Sigma$ ;
- (A4)  $(\mathcal{E}, h^{\mathcal{E}}), (\mathcal{F}, h^{\mathcal{F}}) \rightarrow M$  are Hermitian vector bundles over  $M$ ;
- (A5)  $D : C^\infty(\mathcal{E}) \rightarrow C^\infty(\mathcal{F})$  is a first-order elliptic differential operator;
- (A6)  $D$  and  $D^*$  are complete (i.e.,  $C_c^\infty(E)$  dense in  $\mathcal{D}(D_{\max})$ ).

Consequence: reduce to cylinder  $Z_{[0,T)} : [0, T) \times \Sigma$ .



## General setup

- (A1)  $M$  is a manifold with compact boundary  $\Sigma = \partial M$ ;
- (A2)  $\tau$  is an interior co-vectorfield along  $\partial M$ ;
- (A3)  $\mu$  is a smooth volume measure on  $M$  and  $\nu$  is the induced smooth volume measure on  $\Sigma$ ;
- (A4)  $(\mathcal{E}, h^{\mathcal{E}}), (\mathcal{F}, h^{\mathcal{F}}) \rightarrow M$  are Hermitian vector bundles over  $M$ ;
- (A5)  $D : C^\infty(\mathcal{E}) \rightarrow C^\infty(\mathcal{F})$  is a first-order elliptic differential operator;
- (A6)  $D$  and  $D^*$  are complete (i.e.,  $C_c^\infty(E)$  dense in  $\mathcal{D}(D_{\max})$ ).

Consequence: reduce to cylinder  $Z_{[0,T)} : [0, T) \times \Sigma$ .

$T > 0$  determined by (A1)-(A6).

# Adapted boundary operator

$A$  adapted boundary operator to  $D$  if:

$$\sigma_A(x, \xi) = \sigma_D(x, \tau(x))^{-1} \circ \sigma_D(x, \xi).$$

# Adapted boundary operator

$A$  adapted boundary operator to  $D$  if:

$$\sigma_A(x, \xi) = \sigma_D(x, \tau(x))^{-1} \circ \sigma_D(x, \xi).$$

- Exists and are elliptic differential operators of order 1.

# Adapted boundary operator

$A$  adapted boundary operator to  $D$  if:

$$\sigma_A(x, \xi) = \sigma_D(x, \tau(x))^{-1} \circ \sigma_D(x, \xi).$$

- Exists and are elliptic differential operators of order 1.
- Unique up to an operator of order zero.

# Adapted boundary operator

$A$  adapted boundary operator to  $D$  if:

$$\sigma_A(x, \xi) = \sigma_D(x, \tau(x))^{-1} \circ \sigma_D(x, \xi).$$

- Exists and are elliptic differential operators of order 1.
- Unique up to an operator of order zero.
- Discrete spectrum, generally non-orthogonal eigenspaces.

# Adapted boundary operator

$A$  adapted boundary operator to  $D$  if:

$$\sigma_A(x, \xi) = \sigma_D(x, \tau(x))^{-1} \circ \sigma_D(x, \xi).$$

- Exists and are elliptic differential operators of order 1.
- Unique up to an operator of order zero.
- Discrete spectrum, generally non-orthogonal eigenspaces.

Admissible cut  $r \in \mathbb{R}$ : the line  $l_r := \{\zeta \in \mathbb{C} : \operatorname{Re} \zeta = r\}$  is *not* in the spectrum of  $A$ .

Ellipticity

$$\text{spec}(\sigma_A(x, \xi)) \cap i\mathbb{R} = \emptyset, \forall (x, \xi) \in \Sigma \times T^*\Sigma.$$

Ellipticity  $\text{spec}(\sigma_A(x, \xi)) \cap i\mathbb{R} = \emptyset, \forall (x, \xi) \in \Sigma \times T^*\Sigma.$

Theorem of Shubin in [Shu01]: there exists  $\omega_r \in [0, \pi/2)$  such that  $A_r := A - r$  is  $\omega_r$  bi-sectorial.



Ellipticity  $\text{spec}(\sigma_A(x, \xi)) \cap i\mathbb{R} = \emptyset, \forall (x, \xi) \in \Sigma \times T^*\Sigma.$

Theorem of Shubin in [Shu01]: there exists  $\omega_r \in [0, \pi/2)$  such that  $A_r := A - r$  is  $\omega_r$  bi-sectorial.

Theorem of Grubb in [Gru12] (c.f. also Seeley in [See67]): spectral projectors  $\chi^\pm(A_r)$  are  $\Psi$ DOs of order zero.

Ellipticity  $\text{spec}(\sigma_A(x, \xi)) \cap i\mathbb{R} = \emptyset, \forall (x, \xi) \in \Sigma \times T^*\Sigma.$

Theorem of Shubin in [Shu01]: there exists  $\omega_r \in [0, \pi/2)$  such that  $A_r := A - r$  is  $\omega_r$  bi-sectorial.

Theorem of Grubb in [Gru12] (c.f. also Seeley in [See67]): spectral projectors  $\chi^\pm(A_r)$  are  $\Psi$ DOs of order zero.

Space:  $\check{H}(A) := \chi^-(A_r)H^{\frac{1}{2}}(E_\Sigma) \oplus \chi^+(A_r)H^{-\frac{1}{2}}(E_\Sigma).$

Ellipticity  $\text{spec}(\sigma_A(x, \xi)) \cap i\mathbb{R} = \emptyset, \forall (x, \xi) \in \Sigma \times T^*\Sigma.$

Theorem of Shubin in [Shu01]: there exists  $\omega_r \in [0, \pi/2)$  such that  $A_r := A - r$  is  $\omega_r$  bi-sectorial.

Theorem of Grubb in [Gru12] (c.f. also Seeley in [See67]): spectral projectors  $\chi^\pm(A_r)$  are  $\Psi$ DOs of order zero.

Space:  $\check{H}(A) := \chi^-(A_r)H^{\frac{1}{2}}(E_\Sigma) \oplus \chi^+(A_r)H^{-\frac{1}{2}}(E_\Sigma).$

Norm:  $\|u\|_{\check{H}(A)}^2 := \|\chi^-(A_r)u\|_{H^{\frac{1}{2}}}^2 + \|\chi^+(A_r)u\|_{H^{-\frac{1}{2}}}^2.$

# Theorem 1: Maximal domains and $\check{H}(A)$ , $\check{H}(\tilde{A})$ spaces

## Theorem 1: Maximal domains and $\check{H}(A)$ , $\check{H}(\tilde{A})$ spaces

- (i)  $C_c^\infty(E)$  is dense in  $\mathcal{D}(D_{\max})$  and  $\mathcal{D}((D^*)_{\max})$  with respect to corresponding graph norms.

## Theorem 1: Maximal domains and $\check{H}(A)$ , $\check{H}(\tilde{A})$ spaces

- (i)  $C_c^\infty(E)$  is dense in  $\mathcal{D}(D_{\max})$  and  $\mathcal{D}((D^*)_{\max})$  with respect to corresponding graph norms.
- (ii) The restriction maps  $C_c^\infty(E) \rightarrow C_c^\infty(E_\Sigma)$  and  $C_c^\infty(F) \rightarrow C_c^\infty(F_\Sigma)$  given by  $u \mapsto u|_\Sigma$  extend uniquely to surjective bounded linear maps  $\mathcal{D}(D_{\max}) \rightarrow \check{H}(A)$  and  $\mathcal{D}((D^*)_{\max}) \rightarrow \check{H}(\tilde{A})$ .

# Theorem 1: Maximal domains and $\check{H}(A)$ , $\check{H}(\tilde{A})$ spaces

- (i)  $C_c^\infty(E)$  is dense in  $\mathcal{D}(D_{\max})$  and  $\mathcal{D}((D^*)_{\max})$  with respect to corresponding graph norms.
- (ii) The restriction maps  $C_c^\infty(E) \rightarrow C_c^\infty(E_\Sigma)$  and  $C_c^\infty(F) \rightarrow C_c^\infty(F_\Sigma)$  given by  $u \mapsto u|_\Sigma$  extend uniquely to surjective bounded linear maps  $\mathcal{D}(D_{\max}) \rightarrow \check{H}(A)$  and  $\mathcal{D}((D^*)_{\max}) \rightarrow \check{H}(\tilde{A})$ .
- (iii) The spaces

$$\mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E_\Sigma) = \left\{ u \in \mathcal{D}(D_{\max}) : u|_\Sigma \in H^{\frac{1}{2}}(E_\Sigma) \right\}$$

$$\mathcal{D}((D^*)_{\max}) \cap H_{\text{loc}}^1(F_\Sigma) = \left\{ u \in \mathcal{D}((D^*)_{\max}) : u|_\Sigma \in H^{\frac{1}{2}}(F_\Sigma) \right\}.$$

# Theorem 1: Maximal domains and $\check{H}(A)$ , $\check{H}(\tilde{A})$ spaces

- (i)  $C_c^\infty(E)$  is dense in  $\mathcal{D}(D_{\max})$  and  $\mathcal{D}((D^*)_{\max})$  with respect to corresponding graph norms.
- (ii) The restriction maps  $C_c^\infty(E) \rightarrow C_c^\infty(E_\Sigma)$  and  $C_c^\infty(F) \rightarrow C_c^\infty(F_\Sigma)$  given by  $u \mapsto u|_\Sigma$  extend uniquely to surjective bounded linear maps  $\mathcal{D}(D_{\max}) \rightarrow \check{H}(A)$  and  $\mathcal{D}((D^*)_{\max}) \rightarrow \check{H}(\tilde{A})$ .
- (iii) The spaces
- $$\mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E_\Sigma) = \left\{ u \in \mathcal{D}(D_{\max}) : u|_\Sigma \in H^{\frac{1}{2}}(E_\Sigma) \right\}$$
- $$\mathcal{D}((D^*)_{\max}) \cap H_{\text{loc}}^1(F_\Sigma) = \left\{ u \in \mathcal{D}((D^*)_{\max}) : u|_\Sigma \in H^{\frac{1}{2}}(F_\Sigma) \right\}.$$
- (iv) For all  $u \in \mathcal{D}(D_{\max})$  and  $v \in \mathcal{D}((D^*)_{\max})$ ,
- $$\langle D_{\max}u, v \rangle_{L^2(F)} - \langle u, (D^*)_{\max}v \rangle_{L^2(E)} = - \langle \sigma_0 u|_\Sigma, v|_\Sigma \rangle_{L^2(F_\Sigma)}.$$



# Theorem 1: Maximal domains and $\check{H}(A)$ , $\check{H}(\tilde{A})$ spaces

- (i)  $C_c^\infty(E)$  is dense in  $\mathcal{D}(D_{\max})$  and  $\mathcal{D}((D^*)_{\max})$  with respect to corresponding graph norms.
- (ii) The restriction maps  $C_c^\infty(E) \rightarrow C_c^\infty(E_\Sigma)$  and  $C_c^\infty(F) \rightarrow C_c^\infty(F_\Sigma)$  given by  $u \mapsto u|_\Sigma$  extend uniquely to surjective bounded linear maps  $\mathcal{D}(D_{\max}) \rightarrow \check{H}(A)$  and  $\mathcal{D}((D^*)_{\max}) \rightarrow \check{H}(\tilde{A})$ .

(iii) The spaces

$$\mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E_\Sigma) = \left\{ u \in \mathcal{D}(D_{\max}) : u|_\Sigma \in H^{\frac{1}{2}}(E_\Sigma) \right\}$$

$$\mathcal{D}((D^*)_{\max}) \cap H_{\text{loc}}^1(F_\Sigma) = \left\{ u \in \mathcal{D}((D^*)_{\max}) : u|_\Sigma \in H^{\frac{1}{2}}(F_\Sigma) \right\}.$$

(iv) For all  $u \in \mathcal{D}(D_{\max})$  and  $v \in \mathcal{D}((D^*)_{\max})$ ,

$$\langle D_{\max}u, v \rangle_{L^2(F)} - \langle u, (D^*)_{\max}v \rangle_{L^2(E)} = - \langle \sigma_0 u|_\Sigma, v|_\Sigma \rangle_{L^2(F_\Sigma)}.$$

(v) Higher regularity:

$$\mathcal{D}(D_{\max}) \cap H_{\text{loc}}^{k+1}(E)$$

$$= \left\{ u \in \mathcal{D}(D_{\max}) : Du \in H_{\text{loc}}^k(F) \text{ and } \chi^+(A_r)(u|_\Sigma) \in H^{k+\frac{1}{2}}(E_\Sigma) \right\}.$$

## Boundary conditions

A *closed* linear subspace  $B \subset \check{H}(A)$  is called a *boundary condition* for  $D$ .

## Boundary conditions

A *closed* linear subspace  $B \subset \check{H}(A)$  is called a *boundary condition* for  $D$ . Associated operator domains:

$$\mathcal{D}(D_{B,\max}) = \{u \in \mathcal{D}(D_{\max}) : u|_{\Sigma} \in B\}$$

$$\mathcal{D}(D_B) = \{u \in \mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E_{\Sigma}) : u|_{\Sigma} \in B\},$$

and similarly for the formal adjoint  $D^*$  with  $A$  replaced by  $\tilde{A}$ .

## Boundary conditions

A *closed* linear subspace  $B \subset \check{H}(A)$  is called a *boundary condition* for  $D$ . Associated operator domains:

$$\mathcal{D}(D_{B,\max}) = \{u \in \mathcal{D}(D_{\max}) : u|_{\Sigma} \in B\}$$

$$\mathcal{D}(D_B) = \{u \in \mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E_{\Sigma}) : u|_{\Sigma} \in B\},$$

and similarly for the formal adjoint  $D^*$  with  $A$  replaced by  $\tilde{A}$ .

- For boundary condition  $B$ , the operator  $D_B$  closed and between  $D_{cc}$  (on  $C_{cc}^{\infty}(E)$ ) and  $D_{\max}$ .

## Boundary conditions

A closed linear subspace  $B \subset \check{H}(A)$  is called a *boundary condition* for  $D$ . Associated operator domains:

$$\mathcal{D}(D_{B,\max}) = \{u \in \mathcal{D}(D_{\max}) : u|_{\Sigma} \in B\}$$

$$\mathcal{D}(D_B) = \{u \in \mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E_{\Sigma}) : u|_{\Sigma} \in B\},$$

and similarly for the formal adjoint  $D^*$  with  $A$  replaced by  $\tilde{A}$ .

- For boundary condition  $B$ , the operator  $D_B$  closed and between  $D_{cc}$  (on  $C_{cc}^{\infty}(E)$ ) and  $D_{\max}$ .
- $D_c$  closed extension of  $D_{cc}$ , then  $B := \{u|_{\Sigma} : u \in \mathcal{D}(D_c)\}$  is a boundary condition and  $D_c = D_{B,\max}$ .

## Boundary conditions

A closed linear subspace  $B \subset \check{H}(A)$  is called a *boundary condition* for  $D$ . Associated operator domains:

$$\mathcal{D}(D_{B,\max}) = \{u \in \mathcal{D}(D_{\max}) : u|_{\Sigma} \in B\}$$

$$\mathcal{D}(D_B) = \{u \in \mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E_{\Sigma}) : u|_{\Sigma} \in B\},$$

and similarly for the formal adjoint  $D^*$  with  $A$  replaced by  $\tilde{A}$ .

- For boundary condition  $B$ , the operator  $D_B$  closed and between  $D_{cc}$  (on  $C_{cc}^{\infty}(E)$ ) and  $D_{\max}$ .
- $D_c$  closed extension of  $D_{cc}$ , then  $B := \{u|_{\Sigma} : u \in \mathcal{D}(D_c)\}$  is a boundary condition and  $D_c = D_{B,\max}$ .
- Boundary condition  $B \subset H^{\frac{1}{2}}(E_{\Sigma})$  if and only if  $D_B = D_{B,\max}$ .

## Boundary conditions

A closed linear subspace  $B \subset \check{H}(A)$  is called a *boundary condition* for  $D$ . Associated operator domains:

$$\mathcal{D}(D_{B,\max}) = \{u \in \mathcal{D}(D_{\max}) : u|_{\Sigma} \in B\}$$

$$\mathcal{D}(D_B) = \{u \in \mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E_{\Sigma}) : u|_{\Sigma} \in B\},$$

and similarly for the formal adjoint  $D^*$  with  $A$  replaced by  $\tilde{A}$ .

- For boundary condition  $B$ , the operator  $D_B$  closed and between  $D_{cc}$  (on  $C_{cc}^{\infty}(E)$ ) and  $D_{\max}$ .
- $D_c$  closed extension of  $D_{cc}$ , then  $B := \{u|_{\Sigma} : u \in \mathcal{D}(D_c)\}$  is a boundary condition and  $D_c = D_{B,\max}$ .
- Boundary condition  $B \subset H^{\frac{1}{2}}(E_{\Sigma})$  if and only if  $D_B = D_{B,\max}$ .
- Adjoint boundary condition  $B^{\text{ad}}$  so that  $D_B^{\text{ad}} = D_{B^{\text{ad}}}$ :

$$B^{\text{ad}} := \left\{ v \in \check{H}(-\tilde{A}) : \langle \sigma_0 u, v \rangle_{L^2(F_{\Sigma})} = 0 \quad \forall u \in B \right\}.$$

## Elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  boundary condition is called *elliptic* if there exists an admissible cut  $r \in \mathbb{R}$  and:



## Elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  boundary condition is called *elliptic* if there exists an admissible cut  $r \in \mathbb{R}$  and:

(i)  $W_{\pm}, V_{\pm}$  are mutually complementary subspaces such that

$$V_{\pm} \oplus W_{\pm} = \chi^{\pm}(A_r)L^2(E_{\Sigma}),$$

## Elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  boundary condition is called *elliptic* if there exists an admissible cut  $r \in \mathbb{R}$  and:

(i)  $W_{\pm}, V_{\pm}$  are mutually complementary subspaces such that

$$V_{\pm} \oplus W_{\pm} = \chi^{\pm}(A_r)L^2(E_{\Sigma}),$$

(ii)  $W_{\pm}$  are finite dimensional with  $W_{\pm}, W_{\pm}^* \subset H^{\frac{1}{2}}(E_{\Sigma})$ , and

# Elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  boundary condition is called *elliptic* if there exists an admissible cut  $r \in \mathbb{R}$  and:

(i)  $W_{\pm}, V_{\pm}$  are mutually complementary subspaces such that

$$V_{\pm} \oplus W_{\pm} = \chi^{\pm}(A_r)L^2(E_{\Sigma}),$$

(ii)  $W_{\pm}$  are finite dimensional with  $W_{\pm}, W_{\pm}^* \subset H^{\frac{1}{2}}(E_{\Sigma})$ , and

(iii)  $g : V_- \rightarrow V_+$  bounded linear map with  $g(V_-^{\frac{1}{2}}) \subset V_+^{\frac{1}{2}}$  and  $g^*((V_+^*)^{\frac{1}{2}}) \subset (V_-^*)^{\frac{1}{2}}$

## Elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_\Sigma)$  boundary condition is called *elliptic* if there exists an admissible cut  $r \in \mathbb{R}$  and:

(i)  $W_\pm, V_\pm$  are mutually complementary subspaces such that

$$V_\pm \oplus W_\pm = \chi^\pm(A_r)L^2(E_\Sigma),$$

(ii)  $W_\pm$  are finite dimensional with  $W_\pm, W_\pm^* \subset H^{\frac{1}{2}}(E_\Sigma)$ , and

(iii)  $g : V_- \rightarrow V_+$  bounded linear map with  $g(V_-^{\frac{1}{2}}) \subset V_+^{\frac{1}{2}}$  and  $g^*((V_+^*)^{\frac{1}{2}}) \subset (V_-^*)^{\frac{1}{2}}$  such that

$$B = W_+ \oplus \left\{ v + gv : v \in V_-^{\frac{1}{2}} \right\}.$$

## Theorem 2: Characterisation of elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  be a subspace, then the following are equivalent:

## Theorem 2: Characterisation of elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  be a subspace, then the following are equivalent:

- (i)  $B$  a boundary condition and  $B^{\text{ad}} \subset H^{\frac{1}{2}}(F_{\Sigma})$ ,

## Theorem 2: Characterisation of elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  be a subspace, then the following are equivalent:

- (i)  $B$  a boundary condition and  $B^{\text{ad}} \subset H^{\frac{1}{2}}(F_{\Sigma})$ ,
- (ii) the definition is satisfied for any admissible spectral cut  $r \in \mathbb{R}$ ,

## Theorem 2: Characterisation of elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  be a subspace, then the following are equivalent:

- (i)  $B$  a boundary condition and  $B^{\text{ad}} \subset H^{\frac{1}{2}}(F_{\Sigma})$ ,
- (ii) the definition is satisfied for any admissible spectral cut  $r \in \mathbb{R}$ ,
- (iii)  $B$  an elliptic boundary condition.



## Theorem 2: Characterisation of elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  be a subspace, then the following are equivalent:

- (i)  $B$  a boundary condition and  $B^{\text{ad}} \subset H^{\frac{1}{2}}(F_{\Sigma})$ ,
- (ii) the definition is satisfied for any admissible spectral cut  $r \in \mathbb{R}$ ,
- (iii)  $B$  an elliptic boundary condition.

Elliptic boundary condition  $B$  for  $D \iff B^{\text{ad}}$  elliptic boundary condition for  $D^*$

## Theorem 2: Characterisation of elliptic boundary conditions

$B \subset H^{\frac{1}{2}}(E_{\Sigma})$  be a subspace, then the following are equivalent:

- (i)  $B$  a boundary condition and  $B^{\text{ad}} \subset H^{\frac{1}{2}}(F_{\Sigma})$ ,
- (ii) the definition is satisfied for any admissible spectral cut  $r \in \mathbb{R}$ ,
- (iii)  $B$  an elliptic boundary condition.

Elliptic boundary condition  $B$  for  $D \iff B^{\text{ad}}$  elliptic boundary condition for  $D^*$  and

$$\sigma_0^*(B^{\text{ad}}) = W_-^* \oplus \left\{ u - g^*u : u \in (V_+^*)^{\frac{1}{2}} \right\}.$$

# Pseudo-local and local boundary conditions

- Classical pseudo-differential projector  $P$  of order zero (not necessarily orthogonal), the space

$$B = P(H^{\frac{1}{2}}(E_{\Sigma}))$$

is called a *pseudo-local boundary condition*.

# Pseudo-local and local boundary conditions

- Classical pseudo-differential projector  $P$  of order zero (not necessarily orthogonal), the space

$$B = P(\mathbb{H}^{\frac{1}{2}}(E_{\Sigma}))$$

is called a *pseudo-local boundary condition*.

- Boundary condition  $B \subset \mathbb{H}^{\frac{1}{2}}(E_{\Sigma})$  a *local boundary condition* if there exists a sub-bundle  $E' \subset E_{\Sigma}$  such that

$$B = \mathbb{H}^{\frac{1}{2}}(E').$$

## Theorem 3: Pseudo-local boundary conditions

Given a pseudo-local boundary condition  $B = P(\mathbb{H}^{\frac{1}{2}}(E_{\Sigma}))$ , the following are equivalent:

## Theorem 3: Pseudo-local boundary conditions

Given a pseudo-local boundary condition  $B = P(\mathbb{H}^{\frac{1}{2}}(E_{\Sigma}))$ , the following are equivalent:

- (i)  $B$  an elliptic boundary condition,

## Theorem 3: Pseudo-local boundary conditions

Given a pseudo-local boundary condition  $B = P(\mathbb{H}^{\frac{1}{2}}(E_{\Sigma}))$ , the following are equivalent:

- (i)  $B$  an elliptic boundary condition,
- (ii) for admissible cut  $r \in \mathbb{R}$ , the operator

$$P - \chi^+(A_r) : L^2(E_{\Sigma}) \rightarrow L^2(E_{\Sigma})$$

is Fredholm,

## Theorem 3: Pseudo-local boundary conditions

Given a pseudo-local boundary condition  $B = P(\mathbb{H}^{\frac{1}{2}}(E_\Sigma))$ , the following are equivalent:

- (i)  $B$  an elliptic boundary condition,
- (ii) for admissible cut  $r \in \mathbb{R}$ , the operator

$$P - \chi^+(A_r) : L^2(E_\Sigma) \rightarrow L^2(E_\Sigma)$$

is Fredholm,

- (iii) for admissible cut  $r \in \mathbb{R}$ , the operator

$$P - \chi^+(A_r) : L^2(E_\Sigma) \rightarrow L^2(E_\Sigma)$$

is elliptic classical pseudo of order zero.



### Theorem 3: Pseudo-local boundary conditions

Given a pseudo-local boundary condition  $B = P(\mathbb{H}^{\frac{1}{2}}(E_\Sigma))$ , the following are equivalent:

- (i)  $B$  an elliptic boundary condition,
- (ii) for admissible cut  $r \in \mathbb{R}$ , the operator

$$P - \chi^+(A_r) : L^2(E_\Sigma) \rightarrow L^2(E_\Sigma)$$

is Fredholm,

- (iii) for admissible cut  $r \in \mathbb{R}$ , the operator

$$P - \chi^+(A_r) : L^2(E_\Sigma) \rightarrow L^2(E_\Sigma)$$

is elliptic classical pseudo of order zero.

In particular, if  $D_B u$  is smooth, then  $u$  is smooth up to the boundary.

# Ingredients of the proof

Geometric reduction to the “model” operator  $D_0 = \sigma_0(\partial_t + A)$ :

## Ingredients of the proof

Geometric reduction to the “model” operator  $D_0 = \sigma_0(\partial_t + A)$ :

Lemma (Lemma 4.1 in [BB12])

On the cylinder  $Z_{[0,T)}$ ,

$$\begin{aligned}D &= \sigma_t(\partial_t + B + R_t), \\D^* &= -\sigma_t^*(\partial_t + \tilde{B} + \tilde{R}_t),\end{aligned}$$

for any pair of adapted boundary operators  $B$  and  $\tilde{B}$  to  $D$  and  $D^*$ . Remainder terms  $R_t$  and  $\tilde{R}_t$  are  $\Psi DO$ 's of order at most one, their coefficients depend smoothly on  $t$ , and

$$\begin{aligned}\|R_t u\|_{L^2(\Sigma)} &\lesssim t \|B u\|_{L^2(\Sigma)} + \|u\|_{L^2(\Sigma)}, \text{ and} \\ \|\tilde{R}_t v\|_{L^2(\Sigma)} &\lesssim t \|\tilde{B} v\|_{L^2(\Sigma)} + \|v\|_{L^2(\Sigma)}.\end{aligned}$$

for  $u \in C^\infty(E_\Sigma)$  and  $v \in C^\infty(F_\Sigma)$ .

# Associated sectorial operators and functional calculus

- Let  $\text{sgn}(A_r) := \chi^+(A_r) - \chi^-(A_r)$ .

# Associated sectorial operators and functional calculus

- Let  $\operatorname{sgn}(A_r) := \chi^+(A_r) - \chi^-(A_r)$ .
- Define  $|A_r| := A_r \operatorname{sgn}(A_r)$ .

# Associated sectorial operators and functional calculus

- Let  $\operatorname{sgn}(A_r) := \chi^+(A_r) - \chi^-(A_r)$ .
- Define  $|A_r| := A_r \operatorname{sgn}(A_r)$ .
- $|A_r|$  is invertible  $\omega_r$ -sectorial.

# Associated sectorial operators and functional calculus

- Let  $\operatorname{sgn}(A_r) := \chi^+(A_r) - \chi^-(A_r)$ .
- Define  $|A_r| := A_r \operatorname{sgn}(A_r)$ .
- $|A_r|$  is invertible  $\omega_r$ -sectorial.
- $\Psi$ DO differential calculus:  $\mathcal{D}(|A_r|) = \mathcal{D}(|A_r|^*)$ .

# Associated sectorial operators and functional calculus

- Let  $\operatorname{sgn}(A_r) := \chi^+(A_r) - \chi^-(A_r)$ .
- Define  $|A_r| := A_r \operatorname{sgn}(A_r)$ .
- $|A_r|$  is invertible  $\omega_r$ -sectorial.
- $\Psi$ DOdifferential calculus:  $\mathcal{D}(|A_r|) = \mathcal{D}(|A_r|^*)$ .
- Theorem of Auscher-McIntosh-Nahmod from [AMN97]:  $|A_r|$  has a  $H^\infty$  functional calculus.



# Associated sectorial operators and functional calculus

- Let  $\operatorname{sgn}(A_r) := \chi^+(A_r) - \chi^-(A_r)$ .
- Define  $|A_r| := A_r \operatorname{sgn}(A_r)$ .
- $|A_r|$  is invertible  $\omega_r$ -sectorial.
- $\Psi$ DO differential calculus:  $\mathcal{D}(|A_r|) = \mathcal{D}(|A_r|^*)$ .
- Theorem of Auscher-McIntosh-Nahmod from [AMN97]:  $|A_r|$  has a  $H^\infty$  functional calculus.
- Define  $H_D^1(E) = \mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E)$  with

# Associated sectorial operators and functional calculus

- Let  $\operatorname{sgn}(A_r) := \chi^+(A_r) - \chi^-(A_r)$ .
- Define  $|A_r| := A_r \operatorname{sgn}(A_r)$ .
- $|A_r|$  is invertible  $\omega_r$ -sectorial.
- $\Psi$ DO differential calculus:  $\mathcal{D}(|A_r|) = \mathcal{D}(|A_r|^*)$ .
- Theorem of Auscher-McIntosh-Nahmod from [AMN97]:  $|A_r|$  has a  $H^\infty$  functional calculus.
- Define  $H_D^1(E) = \mathcal{D}(D_{\max}) \cap H_{\text{loc}}^1(E)$  with

$$\|u\|_{H_D^1}^2 := \|\eta u\|_{H^1}^2 + \|Du\|^2 + \|u\|^2,$$

where  $\eta$  is a compactly supported cutoff near the boundary.

## Lemma

For  $\theta \in (\omega_r, \pi/2)$  fixed, there exists an inner product  $\langle \cdot, \cdot \rangle_{N,\theta}$  such that  $|A_r|$  is  $m$ - $\theta$ -accretive and for which the estimate

$$\begin{aligned} \|(\partial_t + A)u\|_{L^2(Z_{[0,\infty)})}^2 &\simeq \|u'\|_{L^2(Z_{[0,\infty)})}^2 + \|Au\|_{L^2(Z_{[0,\infty)})}^2 \\ &\quad - \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r) u_0, u_0 \rangle_{N,\theta} - r \|u_0\|_{N,\theta}^2, \end{aligned}$$

holds for  $u \in C_c^\infty(Z_{[0,\infty)}; E)$  where  $u_0 = u|_\Sigma$ .

## Lemma

For  $\theta \in (\omega_r, \pi/2)$  fixed, there exists an inner product  $\langle \cdot, \cdot \rangle_{N,\theta}$  such that  $|A_r|$  is  $m$ - $\theta$ -accretive and for which the estimate

$$\begin{aligned} \|(\partial_t + A)u\|_{L^2(Z_{[0,\infty)})}^2 &\simeq \|u'\|_{L^2(Z_{[0,\infty)})}^2 + \|Au\|_{L^2(Z_{[0,\infty)})}^2 \\ &\quad - \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r) u_0, u_0 \rangle_{N,\theta} - r \|u_0\|_{N,\theta}^2, \end{aligned}$$

holds for  $u \in C_c^\infty(Z_{[0,\infty)}; E)$  where  $u_0 = u|_\Sigma$ .

Consequences:

## Lemma

For  $\theta \in (\omega_r, \pi/2)$  fixed, there exists an inner product  $\langle \cdot, \cdot \rangle_{N,\theta}$  such that  $|A_r|$  is  $m$ - $\theta$ -accretive and for which the estimate

$$\begin{aligned} \|(\partial_t + A)u\|_{L^2(Z_{[0,\infty)})}^2 &\simeq \|u'\|_{L^2(Z_{[0,\infty)})}^2 + \|Au\|_{L^2(Z_{[0,\infty)})}^2 \\ &\quad - \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r) u_0, u_0 \rangle_{N,\theta} - r \|u_0\|_{N,\theta}^2, \end{aligned}$$

holds for  $u \in C_c^\infty(Z_{[0,\infty)}; E)$  where  $u_0 = u|_\Sigma$ .

Consequences:

- $\chi^+(A_r)u_0 = 0 \implies \|u\|_{H_D^1} \lesssim \|u\|_{D_0}$ ,

## Lemma

For  $\theta \in (\omega_r, \pi/2)$  fixed, there exists an inner product  $\langle \cdot, \cdot \rangle_{N,\theta}$  such that  $|A_r|$  is  $m$ - $\theta$ -accretive and for which the estimate

$$\begin{aligned} \|(\partial_t + A)u\|_{L^2(Z_{[0,\infty)})}^2 &\simeq \|u'\|_{L^2(Z_{[0,\infty)})}^2 + \|Au\|_{L^2(Z_{[0,\infty)})}^2 \\ &\quad - \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r) u_0, u_0 \rangle_{N,\theta} - r \|u_0\|_{N,\theta}^2, \end{aligned}$$

holds for  $u \in C_c^\infty(Z_{[0,\infty)}; E)$  where  $u_0 = u|_\Sigma$ .

Consequences:

- $\chi^+(A_r)u_0 = 0 \implies \|u\|_{H_D^1} \lesssim \|u\|_{D_0}$ ,
- relative boundedness of  $D$  and  $D_0$ .

For any equivalent norm  $\|\cdot\|_\star \simeq \|\cdot\|_{L^2(E_\Sigma)}$ ,

$$\begin{aligned} \|(\partial_t + A)u\|_\star^2 &= \|u'\|_\star^2 + \|Au\|_\star^2 + \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r)u, u \rangle'_\star + r \langle u, u \rangle'_\star \\ &\quad + \operatorname{Re}(\langle u', |A_r| \operatorname{sgn}(A_r)u \rangle_\star - \langle |A_r| \operatorname{sgn}(A_r)u', u \rangle_\star) \end{aligned}$$

For any equivalent norm  $\|\cdot\|_\star \simeq \|\cdot\|_{L^2(E_\Sigma)}$ ,

$$\begin{aligned} \|(\partial_t + A)u\|_\star^2 &= \|u'\|_\star^2 + \|Au\|_\star^2 + \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r)u, u \rangle'_\star + r \langle u, u \rangle'_\star \\ &\quad + \operatorname{Re}(\langle u', |A_r| \operatorname{sgn}(A_r)u \rangle_\star - \langle |A_r| \operatorname{sgn}(A_r)u', u \rangle_\star) \end{aligned}$$

- (i) Via Similarity Theorem due to Callier–Grabowski–Le Merdy (c.f. [Haa06] by Haase), there exists  $\langle \cdot, \cdot \rangle_\theta$  so that  $|A_r|$  is  $m$ - $\theta$ -accretive.



For any equivalent norm  $\|\cdot\|_\star \simeq \|\cdot\|_{L^2(E_\Sigma)}$ ,

$$\begin{aligned} \|(\partial_t + A)u\|_\star^2 &= \|u'\|_\star^2 + \|Au\|_\star^2 + \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r)u, u \rangle'_\star + r \langle u, u \rangle'_\star \\ &\quad + \operatorname{Re}(\langle u', |A_r| \operatorname{sgn}(A_r)u \rangle_\star - \langle |A_r| \operatorname{sgn}(A_r)u', u \rangle_\star) \end{aligned}$$

- (i) Via Similarity Theorem due to Callier–Grabowski–Le Merdy (c.f. [Haa06] by Haase), there exists  $\langle \cdot, \cdot \rangle_\theta$  so that  $|A_r|$  is  $m$ - $\theta$ -accretive.
- (ii) Define  $\|\cdot\|_{N,\theta}^2 := \|\chi^+(A_r) \cdot\|_\theta^2 + \|\chi^-(A_r) \cdot\|_\theta^2$ .

For any equivalent norm  $\|\cdot\|_\star \simeq \|\cdot\|_{L^2(E_\Sigma)}$ ,

$$\begin{aligned} \|(\partial_t + A)u\|_\star^2 &= \|u'\|_\star^2 + \|Au\|_\star^2 + \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r)u, u \rangle'_\star + r \langle u, u \rangle'_\star \\ &\quad + \operatorname{Re}(\langle u', |A_r| \operatorname{sgn}(A_r)u \rangle_\star - \langle |A_r| \operatorname{sgn}(A_r)u', u \rangle_\star) \end{aligned}$$

- (i) Via Similarity Theorem due to Callier–Grabowski–Le Merdy (c.f. [Haa06] by Haase), there exists  $\langle \cdot, \cdot \rangle_\theta$  so that  $|A_r|$  is  $m$ - $\theta$ -accretive.
- (ii) Define  $\|\cdot\|_{N,\theta}^2 := \|\chi^+(A_r) \cdot\|_\theta^2 + \|\chi^-(A_r) \cdot\|_\theta^2$ .
- (iii)  $|A_r|$  is still  $m$ - $\theta$ -accretive with respect to  $\langle \cdot, \cdot \rangle_{N,\theta}$ .

For any equivalent norm  $\|\cdot\|_\star \simeq \|\cdot\|_{L^2(E_\Sigma)}$ ,

$$\begin{aligned} \|(\partial_t + A)u\|_\star^2 &= \|u'\|_\star^2 + \|Au\|_\star^2 + \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r)u, u \rangle'_\star + r \langle u, u \rangle'_\star \\ &\quad + \operatorname{Re}(\langle u', |A_r| \operatorname{sgn}(A_r)u \rangle_\star - \langle |A_r| \operatorname{sgn}(A_r)u', u \rangle_\star) \end{aligned}$$

- (i) Via Similarity Theorem due to Callier–Grabowski–Le Merdy (c.f. [Haa06] by Haase), there exists  $\langle \cdot, \cdot \rangle_\theta$  so that  $|A_r|$  is  $m$ - $\theta$ -accretive.
- (ii) Define  $\|\cdot\|_{N,\theta}^2 := \|\chi^+(A_r) \cdot\|_\theta^2 + \|\chi^-(A_r) \cdot\|_\theta^2$ .
- (iii)  $|A_r|$  is still  $m$ - $\theta$ -accretive with respect to  $\langle \cdot, \cdot \rangle_{N,\theta}$ .
- (iv)  $\chi^\pm(A_r)$  are self-adjoint in  $\langle \cdot, \cdot \rangle_{N,\theta}$  as is  $\operatorname{sgn}(A_r)$ .

For any equivalent norm  $\|\cdot\|_\star \simeq \|\cdot\|_{L^2(E_\Sigma)}$ ,

$$\begin{aligned} \|(\partial_t + A)u\|_\star^2 &= \|u'\|_\star^2 + \|Au\|_\star^2 + \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r)u, u \rangle'_\star + r \langle u, u \rangle'_\star \\ &\quad + \operatorname{Re}(\langle u', |A_r| \operatorname{sgn}(A_r)u \rangle_\star - \langle |A_r| \operatorname{sgn}(A_r)u', u \rangle_\star) \end{aligned}$$

- (i) Via Similarity Theorem due to Callier–Grabowski–Le Merdy (c.f. [Haa06] by Haase), there exists  $\langle \cdot, \cdot \rangle_\theta$  so that  $|A_r|$  is  $m$ - $\theta$ -accretive.
- (ii) Define  $\|\cdot\|_{N,\theta}^2 := \|\chi^+(A_r) \cdot\|_\theta^2 + \|\chi^-(A_r) \cdot\|_\theta^2$ .
- (iii)  $|A_r|$  is still  $m$ - $\theta$ -accretive with respect to  $\langle \cdot, \cdot \rangle_{N,\theta}$ .
- (iv)  $\chi^\pm(A_r)$  are self-adjoint in  $\langle \cdot, \cdot \rangle_{N,\theta}$  as is  $\operatorname{sgn}(A_r)$ .
- (v)  $a(u, v) = \langle |A_r|u, v \rangle_{N,\theta}$  is  $m$ - $\theta$ -accretive form.

For any equivalent norm  $\|\cdot\|_\star \simeq \|\cdot\|_{L^2(E_\Sigma)}$ ,

$$\begin{aligned} \|(\partial_t + A)u\|_\star^2 &= \|u'\|_\star^2 + \|Au\|_\star^2 + \operatorname{Re} \langle |A_r| \operatorname{sgn}(A_r)u, u \rangle'_\star + r \langle u, u \rangle'_\star \\ &\quad + \operatorname{Re}(\langle u', |A_r| \operatorname{sgn}(A_r)u \rangle_\star - \langle |A_r| \operatorname{sgn}(A_r)u', u \rangle_\star) \end{aligned}$$

- (i) Via Similarity Theorem due to Callier–Grabowski–Le Merdy (c.f. [Haa06] by Haase), there exists  $\langle \cdot, \cdot \rangle_\theta$  so that  $|A_r|$  is  $m$ - $\theta$ -accretive.
- (ii) Define  $\|\cdot\|_{N,\theta}^2 := \|\chi^+(A_r) \cdot\|_\theta^2 + \|\chi^-(A_r) \cdot\|_\theta^2$ .
- (iii)  $|A_r|$  is still  $m$ - $\theta$ -accretive with respect to  $\langle \cdot, \cdot \rangle_{N,\theta}$ .
- (iv)  $\chi^\pm(A_r)$  are self-adjoint in  $\langle \cdot, \cdot \rangle_{N,\theta}$  as is  $\operatorname{sgn}(A_r)$ .
- (v)  $a(u, v) = \langle |A_r|u, v \rangle_{N,\theta}$  is  $m$ - $\theta$ -accretive form.

$$\begin{aligned} &\langle u', |A_r| \operatorname{sgn}(A_r)u \rangle_{N,\theta} - \langle |A_r| \operatorname{sgn}(A_r)u', u \rangle_{N,\theta} \\ &= a(\operatorname{sgn}(A_r)u', u)^{\operatorname{conj}} - a(u, \operatorname{sgn}(A_r)u') \in \operatorname{Im} \mathbb{R} \end{aligned}$$

## Higher regularity

Banach-valued Cauchy problem:  $f \in L^2(Z_{[0,\rho]}, E)$ ,

$$\partial_t W(t; f) + |A_r|W(t; f) = f(t), \quad \lim_{t \rightarrow 0} W(t; f) = 0.$$

## Higher regularity

Banach-valued Cauchy problem:  $f \in L^2(Z_{[0,\rho]}, E)$ ,

$$\partial_t W(t; f) + |A_r|W(t; f) = f(t), \quad \lim_{t \rightarrow 0} W(t; f) = 0.$$

Solution given by:

$$W(t; f) = \int_0^t e^{-(t-s)|A_r|} f(s) ds.$$

## Higher regularity

Banach-valued Cauchy problem:  $f \in L^2(Z_{[0,\rho]}, E)$ ,

$$\partial_t W(t; f) + |A_r|W(t; f) = f(t), \quad \lim_{t \rightarrow 0} W(t; f) = 0.$$

Solution given by:

$$W(t; f) = \int_0^t e^{-(t-s)|A_r|} f(s) ds.$$

Define:

$$\begin{aligned} S_{0,r}u(t) &= \int_0^t e^{-(t-s)|A_r|} \sigma_0^{-1} \chi^+(A_r) u(s) ds \\ &\quad - \int_t^\rho e^{-(s-t)|A_r|} \sigma_0^{-1} \chi^-(A_r) u(s) ds \end{aligned}$$



Let  $(C_\rho u)(s) = u(\rho - s)$ ,

$$(i) S_{0,r}u(t) = W(t; \sigma_0^{-1}\chi^+(A_r)u) - W(\rho - t; \sigma_0^{-1}\chi^-(A_r)C_\rho u)$$

Let  $(C_\rho u)(s) = u(\rho - s)$ ,

- (i)  $S_{0,r}u(t) = W(t; \sigma_0^{-1}\chi^+(A_r)u) - W(\rho - t; \sigma_0^{-1}\chi^-(A_r)C_\rho u)$
- (ii)  $\chi^+(A_r)(S_{0,r}u)(0) = \chi^-(A_r)(S_{0,r}u)(\rho) = 0$ .

Let  $(C_\rho u)(s) = u(\rho - s)$ ,

- (i)  $S_{0,r}u(t) = W(t; \sigma_0^{-1}\chi^+(A_r)u) - W(\rho - t; \sigma_0^{-1}\chi^-(A_r)C_\rho u)$
- (ii)  $\chi^+(A_r)(S_{0,r}u)(0) = \chi^-(A_r)(S_{0,r}u)(\rho) = 0$ .
- (iii)  $D_{0,r}S_{0,r} = I$ , where  $D_{0,r} = \sigma_0(\partial_t + A_r)$ .

Let  $(C_\rho u)(s) = u(\rho - s)$ ,

- (i)  $S_{0,r}u(t) = W(t; \sigma_0^{-1}\chi^+(A_r)u) - W(\rho - t; \sigma_0^{-1}\chi^-(A_r)C_\rho u)$
- (ii)  $\chi^+(A_r)(S_{0,r}u)(0) = \chi^-(A_r)(S_{0,r}u)(\rho) = 0$ .
- (iii)  $D_{0,r}S_{0,r} = I$ , where  $D_{0,r} = \sigma_0(\partial_t + A_r)$ .
- (iv)  $S_{0,r} : H^k(Z_{[0,\rho]}, E) \rightarrow H^{k+1}(Z_{[0,\rho]}, E)$  bounded.

Let  $(C_\rho u)(s) = u(\rho - s)$ ,

- (i)  $S_{0,r}u(t) = W(t; \sigma_0^{-1}\chi^+(A_r)u) - W(\rho - t; \sigma_0^{-1}\chi^-(A_r)C_\rho u)$
- (ii)  $\chi^+(A_r)(S_{0,r}u)(0) = \chi^-(A_r)(S_{0,r}u)(\rho) = 0$ .
- (iii)  $D_{0,r}S_{0,r} = I$ , where  $D_{0,r} = \sigma_0(\partial_t + A_r)$ .
- (iv)  $S_{0,r} : H^k(Z_{[0,\rho]}, E) \rightarrow H^{k+1}(Z_{[0,\rho]}, E)$  bounded.
- (v)  $D_{0,r} : H^{k+1}(Z_{[0,\rho]}, E; B_0) \rightarrow H^k(Z_{[0,\rho]}, E)$  isomorphism, where  $B_0 = \chi^-(A_r)H^{\frac{1}{2}}(E_\Sigma) \oplus \chi^+(A_r)H^{\frac{1}{2}}(E_\Sigma)$

Let  $(C_\rho u)(s) = u(\rho - s)$ ,

- (i)  $S_{0,r}u(t) = W(t; \sigma_0^{-1}\chi^+(A_r)u) - W(\rho - t; \sigma_0^{-1}\chi^-(A_r)C_\rho u)$
- (ii)  $\chi^+(A_r)(S_{0,r}u)(0) = \chi^-(A_r)(S_{0,r}u)(\rho) = 0$ .
- (iii)  $D_{0,r}S_{0,r} = I$ , where  $D_{0,r} = \sigma_0(\partial_t + A_r)$ .
- (iv)  $S_{0,r} : H^k(Z_{[0,\rho]}, E) \rightarrow H^{k+1}(Z_{[0,\rho]}, E)$  bounded.
- (v)  $D_{0,r} : H^{k+1}(Z_{[0,\rho]}, E; B_0) \rightarrow H^k(Z_{[0,\rho]}, E)$  isomorphism, where  $B_0 = \chi^-(A_r)H^{\frac{1}{2}}(E_\Sigma) \oplus \chi^+(A_r)H^{\frac{1}{2}}(E_\Sigma)$
- (vi)  $(I - S_{0,r}D_{0,r})u = e^{-t|A_r|}(\chi^+(A_r)u(0))$  whenever  $\chi^-(A_r)(u(\rho)) = 0$ ,

Let  $(C_\rho u)(s) = u(\rho - s)$ ,

- (i)  $S_{0,r}u(t) = W(t; \sigma_0^{-1}\chi^+(A_r)u) - W(\rho - t; \sigma_0^{-1}\chi^-(A_r)C_\rho u)$
- (ii)  $\chi^+(A_r)(S_{0,r}u)(0) = \chi^-(A_r)(S_{0,r}u)(\rho) = 0$ .
- (iii)  $D_{0,r}S_{0,r} = I$ , where  $D_{0,r} = \sigma_0(\partial_t + A_r)$ .
- (iv)  $S_{0,r} : H^k(Z_{[0,\rho]}, E) \rightarrow H^{k+1}(Z_{[0,\rho]}, E)$  bounded.
- (v)  $D_{0,r} : H^{k+1}(Z_{[0,\rho]}, E; B_0) \rightarrow H^k(Z_{[0,\rho]}, E)$  isomorphism, where  $B_0 = \chi^-(A_r)H^{\frac{1}{2}}(E_\Sigma) \oplus \chi^+(A_r)H^{\frac{1}{2}}(E_\Sigma)$
- (vi)  $(I - S_{0,r}D_{0,r})u = e^{-t|A_r|}(\chi^+(A_r)u(0))$  whenever  $\chi^-(A_r)(u(\rho)) = 0$ ,

Key estimate:

$$\int_0^\rho \|\partial_t W(t; f)\|_{L^2(E_\Sigma)}^2 dt + \int_0^\rho \| |A_r| W(t; f) \|_{L^2(E_\Sigma)}^2 dt \lesssim \int_0^\rho \|f(t)\|_{L^2(E_\Sigma)}^2 dt.$$

## Elliptic boundary conditions

Prove:  $B \in H^{\frac{1}{2}}(E_{\Sigma})$  and  $B^{\text{ad}} \in H^{\frac{1}{2}}(F_{\Sigma})$  implies  $B$  elliptic.



## Elliptic boundary conditions

Prove:  $B \subset H^{\frac{1}{2}}(E_{\Sigma})$  and  $B^{\text{ad}} \subset H^{\frac{1}{2}}(F_{\Sigma})$  implies  $B$  elliptic.

### Lemma

$W_+ = \mathcal{R}(\chi^+(A_r)) \cap B$  and  $W_-^* = \mathcal{R}(\chi^-(A_r^*)) \cap \sigma_0^* B^{\text{ad}}$  are finite dimensional subspaces of  $H^{\frac{1}{2}}(E_{\Sigma})$

# Elliptic boundary conditions

Prove:  $B \subset H^{\frac{1}{2}}(E_{\Sigma})$  and  $B^{\text{ad}} \subset H^{\frac{1}{2}}(F_{\Sigma})$  implies  $B$  elliptic.

## Lemma

$W_+ = \mathcal{R}(\chi^+(A_r)) \cap B$  and  $W_-^* = \mathcal{R}(\chi^-(A_r^*)) \cap \sigma_0^* B^{\text{ad}}$  are finite dimensional subspaces of  $H^{\frac{1}{2}}(E_{\Sigma})$  and  $\chi^-(A_r)B$  and  $\chi^+(A_r^*)\sigma_0^* B^{\text{ad}}$  are closed subspaces of  $H^{\frac{1}{2}}(E_{\Sigma})$ .

# Elliptic boundary conditions

Prove:  $B \subset H^{\frac{1}{2}}(E_{\Sigma})$  and  $B^{\text{ad}} \subset H^{\frac{1}{2}}(F_{\Sigma})$  implies  $B$  elliptic.

## Lemma

$W_+ = \mathcal{R}(\chi^+(A_r)) \cap B$  and  $W_-^* = \mathcal{R}(\chi^-(A_r^*)) \cap \sigma_0^* B^{\text{ad}}$  are finite dimensional subspaces of  $H^{\frac{1}{2}}(E_{\Sigma})$  and  $\chi^-(A_r)B$  and  $\chi^+(A_r^*)\sigma_0^* B^{\text{ad}}$  are closed subspaces of  $H^{\frac{1}{2}}(E_{\Sigma})$ .

Key estimate:

$$\|u\|_{H^{\frac{1}{2}}} \simeq \|u\|_{\check{H}(A)} \lesssim \|\chi^-(A_r)u\|_{H^{\frac{1}{2}}} + \|\chi^+(A_r)u\|_{H^{-\frac{1}{2}}}$$

for all  $u \in B$ .

Spaces:

$$\begin{aligned} W_-^* &:= \chi^-(A_r^*)L^2(E_\Sigma) \cap \sigma_0^*B^{\text{ad}} & W_- &:= \chi^-(A_r)W_-^* \\ W_+ &:= \chi^+(A_r)L^2(E_\Sigma) \cap B & W_+^* &:= \chi^+(A_r^*)W_+ \\ V_-^* &:= \chi^-(A_r^*)L^2(E_\Sigma) \cap (W_-^*)^\perp & V_- &:= \chi^-(A_r)V_-^* \\ V_+ &:= \chi^+(A_r)L^2(E_\Sigma) \cap W_+^\perp & V_+^* &:= \chi^+(A_r^*)V_+. \end{aligned}$$

Spaces:

$$\begin{aligned} W_-^* &:= \chi^-(A_r^*)L^2(E_\Sigma) \cap \sigma_0^*B^{\text{ad}} & W_- &:= \chi^-(A_r)W_-^* \\ W_+ &:= \chi^+(A_r)L^2(E_\Sigma) \cap B & W_+^* &:= \chi^+(A_r^*)W_+ \\ V_-^* &:= \chi^-(A_r^*)L^2(E_\Sigma) \cap (W_-^*)^\perp & V_- &:= \chi^-(A_r)V_-^* \\ V_+ &:= \chi^+(A_r)L^2(E_\Sigma) \cap W_+^\perp & V_+^* &:= \chi^+(A_r^*)V_+. \end{aligned}$$

Splitting:

$$L^2(E_\Sigma) = V_- \oplus W_- \oplus V_+ \oplus W_+ = V_-^* \oplus W_-^* \oplus V_+^* \oplus W_+^*.$$

$$X_- = \chi^-(A_r)|_{B \cap W_+^\perp} : B \cap W_+^\perp \rightarrow \chi^-(A_r)B, \text{ and}$$

$$X_+^* = \chi^+(A_r^*)|_{\sigma_0^* B^{\text{ad}} \cap (W_-^*)^\perp} : \sigma_0^* B^{\text{ad}} \cap (W_-^*)^\perp \rightarrow \chi^+(A_r^*)\sigma_0^* B^{\text{ad}}.$$

are isomorphisms with their ranges.

$$X_- = \chi^-(A_r)|_{B \cap W_+^\perp} : B \cap W_+^\perp \rightarrow \chi^-(A_r)B, \text{ and}$$

$$X_+^* = \chi^+(A_r^*)|_{\sigma_0^* B^{\text{ad}} \cap (W_-^*)^\perp} : \sigma_0^* B^{\text{ad}} \cap (W_-^*)^\perp \rightarrow \chi^+(A_r^*)\sigma_0^* B^{\text{ad}}.$$

are isomorphisms with their ranges.

$$g_0 = P_{V_+}(X_-)^{-1} \quad \text{and} \quad h_0 = P_{V_-}(X_+^*)^{-1}.$$

$$X_- = \chi^-(A_r)|_{B \cap W_+^\perp} : B \cap W_+^\perp \rightarrow \chi^-(A_r)B, \text{ and}$$

$$X_+^* = \chi^+(A_r^*)|_{\sigma_0^* B^{\text{ad}} \cap (W_-^*)^\perp} : \sigma_0^* B^{\text{ad}} \cap (W_-^*)^\perp \rightarrow \chi^+(A_r^*)\sigma_0^* B^{\text{ad}}.$$

are isomorphisms with their ranges.

$$g_0 = P_{V_+}(X_-)^{-1} \quad \text{and} \quad h_0 = P_{V_-^*}(X_+^*)^{-1}.$$

Obtain:

$$B = W_+ \oplus \left\{ v \in V_-^{\frac{1}{2}} : v + g_0 v \right\}$$

$$B^{\text{ad}} = W_-^* \oplus \left\{ u \in (V_+^*)^{\frac{1}{2}} : u + h_0 u \right\}.$$



# References I

- [AMN97] Pascal Auscher, Alan McIntosh, and Andrea Nahmod.  
**Holomorphic functional calculi of operators, quadratic estimates and interpolation.**  
*Indiana Univ. Math. J.*, 46(2):375–403, 1997.
- [BB12] Christian Bär and Werner Ballmann.  
**Boundary value problems for elliptic differential operators of first order.**  
17:1–78, 2012.
- [Gru12] Gerd Grubb.  
**The sectorial projection defined from logarithms.**  
*Math. Scand.*, 111(1):118–126, 2012.
- [Haa06] Markus Haase.  
*The functional calculus for sectorial operators*, volume 169 of *Operator Theory: Advances and Applications*.  
Birkhäuser Verlag, Basel, 2006.
- [See67] R. T. Seeley.  
**Complex powers of an elliptic operator.**  
pages 288–307, 1967.
- [Shu01] M. A. Shubin.  
*Pseudodifferential operators and spectral theory*.  
Springer-Verlag, Berlin, second edition, 2001.  
Translated from the 1978 Russian original by Stig I. Andersson.