

# The Cauchy Problem in GR

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- ① Formulate Einstein Eq<sup>n</sup>s.
- ② Historical overview of development in GR.
- ③ ——— // ——— Cauchy problem.
- ④ Formulation of the IVP.
- ⑤ Non-linear hyperbolic PDE.
- ⑥ Global uniqueness.
- ⑦ Future global non-linear stability.

## Einstein's eq<sup>n</sup>s

- Classical: laws of physics invariant under Galilean transformations. (but not electromagnetism which lead to ...)
- Special relativity: should be Lorentz transformations.
- Geometry: Minkowski space - Lorentz transfms are the isometries.  $(\mathbb{R}^4, g_{\text{min}})$ .

$$g_{\text{min}} = - dx^0 \otimes dx^0 + \sum_{i=1}^3 dx^i \otimes dx^i$$

→ Maxwell's eq<sup>n</sup>s are ok, but not gravity.

Need to modify gravity:

For Einstein;

- Equivalence principle: inert mass = gravitational mass.

Simply: acceleration = gravitation.

→ Gravitation ↔ distortion of geometry.

→ We need eq<sup>n</sup> for a Lorentz metric  $g$  on a manifold  $M$ .

Classical case:  $\Delta\psi = -c\rho$ .  $\psi$  - gravitational potential

$\rho$  - matter density

$c$  - universal const.

Make eq<sup>n</sup> to resemble this;

Rough structure: second order diff eq. on  $g$  = matter sources.

• Need this to be indep of coordinates to use.

this to be a tensor field on LHS and RHS.

• Need a single object to include matter density, current etc., etc., to RHS: stress energy tensor  $T$ ; symmetric, covariant, 2-tensor, and

conservation of energy  $\Rightarrow \text{div } T \equiv 0$ .

LHS: symmetric, covariant, 2-tensor, div free, 2nd derivatives.

→ LHS =  $G + \Lambda g$ ,  $\Lambda$  - cosmological constant.

$$G = \text{Ric} - \frac{1}{2} Sg$$

↑                    ↑  
Ricci                scalar

Einstein's Eq<sup>s</sup>:  $G + \Lambda g = T$

## History:

- Beginning: finding explicit sol<sup>n</sup>s,

- 1916 Schwarzschild.
- 1917 Einstein universe.
- 20's, 30's. FLRW.
- 1963. Kerr metric.

- Are two sol<sup>n</sup>s diff. coord reps of the same sol<sup>n</sup>s?

• Singularities appear.

• Under Nachr singularity is problematic.

Including Einstein thought that  $r = 2m$  is a real singularity in Schwarzschild.

It wasn't until the 1950's this was understood to be a failure of coords.

⇒ It's necessary to take a geometric, coord indep perspective.

Geometry: 50's & 60's: Kruskal plane, singularity thr<sup>u</sup>s (Hawking & Penrose), horizon geometry.

Hawking & Penrose: singularity = causal geodesic incompleteness.

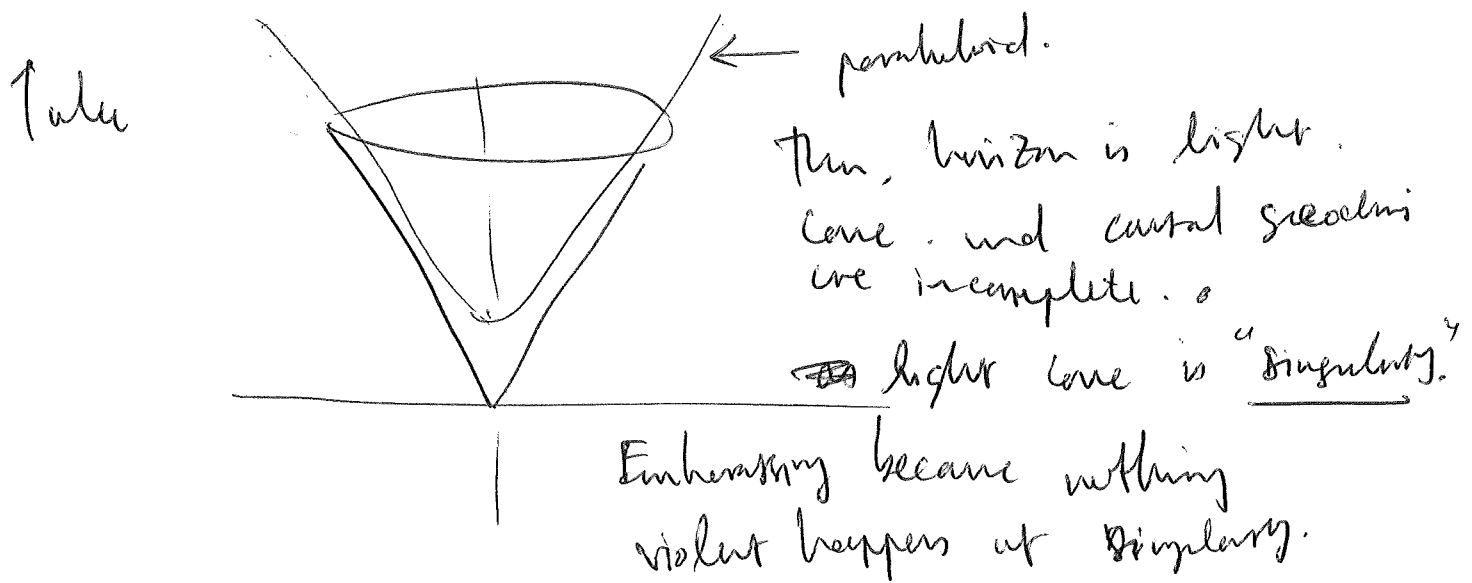
Null geodesic  $\langle \dot{\gamma}, \dot{\gamma} \rangle = 0$ . Timelike (free fallis possible)  $\langle \dot{\gamma}, \dot{\gamma} \rangle < 0$ .

Causal geodesic incomp:  $\exists \gamma$  timelike (ie pmg) st.  $\gamma$  leaves spacetime in finite proper time.

Rmk. Mfld is maximal (ie not "incomplete").

So,  $\exists$  spacetimes  $(M, g)$ :

- ① Hawking  $P_{th}^2$  applies:  $\exists$  singularity.
- ②  $(M, g)$  maximal. (Cauchy development - later on).
- ③  $Ricm(g) = 0$ .
- ④  $(M, g)$  can be extended to be geodesically complete.



## Cauchy Problem:

- 1916 - Einstein: the gravitational field propagates at a speed bounded by light.

criticism: special coordinates to make this work. Why is this invariant? (Particularly Eddington).

- 1921/22: de Donder, Lanczos: if coordinates are such that  $g^{\alpha\beta} (\partial_r g_{\alpha\beta} - 2\partial_\beta g_{r\alpha}) = 0$ , then.

$$Ric = 0 \iff \underbrace{g^{\alpha\beta} \partial_\alpha \partial_\beta g_{\mu\nu}} = L_{\mu\nu}.$$

Hyperbolic PDE.

• Kurt Stollmaier (1937): geometric (local) uniqueness result. (justifies coordinates). (Eddington's objection down the toilet).

• 1952: Yvonne Choquet-Bruhat: g.p.m. i.d.

Satisfying the constraint eq<sup>s</sup>, there is a corresponding sol<sup>n</sup> to Einstein's equations!

↳ Sets the stage for Cauchy Problem.

Questions:

- Stability: perturb ~~of~~ initial data  $\Rightarrow$  sol<sup>n</sup>'s perturb similarly.
- Asymptotics: a) singularities b) expanding direction c) AF  $\mathcal{I}^+$  (radiation far out  $\&$  near  $\infty$ ).

• SCC + strong cosmic censorship.

determinism is lost via IVP.  $\mathcal{I}_e, \mathcal{I}$  sol<sup>n</sup>'s for initial data.  $\mathcal{I}_e$ , maximal Cauchy development can be extended in equivalent ways. So, can't determine which spacetime you're in.

## The Cauchy Problem

Ex. Consider  $(M, g)$  where  $M = I \times \mathbb{R}^3$ ,

$$g = -dt^2 + a^2(t) \bar{g}.$$

Want sol<sup>n</sup> to Einstein's Eq<sup>n</sup>: matter = dust, i.e.  $T = \rho dt^2$ .

Eq<sup>s</sup>. (I)  $3 \left( \frac{\dot{a}}{a} \right)^2 = \rho$ , ( $G_{00} = T_{00}$ ).

(II)  $0 = 0$ , ( $G_{0i} = T_{0i}$ ).

(III)  $2 \frac{\ddot{a}}{a} + \left( \frac{\dot{a}}{a} \right)^2 = 0$  ( $G_{ij} = T_{ij}$ ).

(IV)  $\dot{\rho} + 3 \frac{\dot{a}}{a} \rho = 0$ . ( $\text{div } T = 0$ ).

Procedure: 1) Choose i.d.  $\{a(t_0), \dot{a}(t_0), \rho(t_0)\}$ .

to meet (I) holds.

2) Choose  $a, \rho$  - sol<sup>ns</sup> to (3) & (4).

3) Hope for the best... (we only know (I) initially)  
need to know in advance.

Define:  $f = 3 \left( \frac{\dot{a}}{a} \right)^2 - \rho$ .

compute  $\dot{f} = \cdot$  (using (III) & (IV)) =  $-3 \frac{\dot{a}}{a} f$ .

+  $f(t_0) = 0 \Rightarrow f(t) = 0 \forall t$ .

Note: ① Broken diffeomorphism invariance by inserting  
in metric for  $g$  via this choice of coordinates.

② System of evolution equations & constraints.

General Case: Ricci scalar  $R_{\text{sp}} = 0$ .

In local coords:

(V)  $R_{\mu\nu} = -\frac{1}{2} g^{\alpha\beta} \partial_\alpha \partial_\beta g_{\mu\nu} + \nabla_{(\mu} T_{\nu)}$  +  ~~$T_{\mu\nu}$~~  +  ~~$T_{\nu\mu}$~~  +  ~~$T_{\mu\nu}$~~

grad terms in.  
don't symbol.

Here,  $T^{\alpha\alpha} = g^{\mu\nu} T_{\mu\nu}^{\alpha\alpha}$ ,  $T_{\alpha} = g_{\alpha\beta} T^{\beta}$ .

$$\nabla_{\mu} T_{\nu} = \partial_{\mu} T_{\nu} + T_{\mu\nu}^{\alpha} T_{\alpha}$$

$$\nabla_{(\mu} T_{\nu)} = \frac{1}{2} (\nabla_{\mu} T_{\nu} + \nabla_{\nu} T_{\mu})$$

→ trouble term.

Gauge source functions. - need to break diffeo. inv. to get wave.

Idea: replace  $T_{\nu}$  in ~~the~~ second term with  $F_{\nu}$ .  
(depends on coords & metric but no derivations).

Define (6)  $\hat{R}_{\mu\nu} = R_{\mu\nu} + \nabla_{(\mu} D_{\nu)}$ , where  
 $D_{\nu} = F_{\nu} - T_{\nu}$ .

Remark.  $T_{\nu}$  ~~is~~ not a tensor field, but by correct choice of  $F_{\nu}$ , can ensure  $D_{\nu}$  is.

Then,  $\hat{R}_{\mu\nu} = 0$  - hyperbolic system of PDEs.

Assume  $\hat{R}_{\mu\nu} = 0$ , then (6) gives  $R_{\mu\nu} = -\nabla_{(\mu} D_{\nu)}$ .

$$\Rightarrow \boxed{G_{\mu\nu} = -\nabla_{(\mu} D_{\nu)} + \frac{1}{2} (\nabla^{\alpha} D_{\alpha}) g_{\mu\nu}}$$

Bianchi identity  $\Rightarrow$  divergence LHS = 0.

$$\nabla^m \nabla_m D_r + R_r^m D_m = 0$$

← Impetus note:  
homogeneous wave eq<sup>n</sup>.

As in the case  $t = 3(\frac{q}{r})^2 - p$  initially 0,  
the discrepancy  $D_r$  initially 0  $\Rightarrow D_r = 0$  for  
all time. So, get sol<sup>n</sup> to  $P_{\mu\nu} = 0$ .

Ex.  $\square u = 0$  I.d.  $u(t_0, \cdot), u_t(t_0, \cdot)$ .

$\{t = 0\}$  - Cauchy hypersurface, spacelike.

Time-like curves ~~are along~~ ~~transversal~~  
that are extendible, intersect exactly  
once.

In our case:  $g^{\alpha\beta} \partial_\alpha \partial_\beta g_{\mu\nu} = F_{\mu\nu}(g, \partial g)$ . (\*\*)

Data should be on a spacelike hypersurface in  $r, t, \theta$

Data  $g_{\alpha\beta}|_\Sigma$ , & normal derivative to  $g^{\alpha\beta}|_\Sigma$ .

Geometric data: induced metric & 2nd t.f.

(of the metric) but don't really give data for  
(Additional freedom).

How much the remaining data be specified?

Use requirement  $D_{\mu\nu} = 0$  on  $\Sigma$ .

once you've done this right  $\rightarrow$  no remaining freedom  
for (\*\*). (8)



Think  $D_\mu = 0$  means that you can choose coords. so that  $F_\nu = T_\nu$ . I.e., gauge freedom can be chosen to make connection Christoffels.

How to ensure  $\nabla_\mu D_\nu = 0$ ?

If  $(M, g)$  is such that  $Ric = 0$ , and  $\Sigma$  spacelike hypersurface, then.

$$\begin{array}{ccc} & G(N, N) = 0, & G(N, X) = 0. \\ \nearrow & & \nwarrow \\ N \text{ normal to } \Sigma & & X \text{ tangential to } \Sigma \end{array}$$

$$\begin{array}{l} \nearrow \\ (***) \end{array} \left\{ \begin{array}{l} \bar{R} - \bar{k}_{ij} \bar{k}^{ij} + (\text{tr } \bar{k})^2 = 0. \\ \bar{\nabla}^j \bar{k}_{;i} - \bar{\nabla}_j \text{tr } \bar{k} = 0. \end{array} \right.$$

So if we want to solve vacuum eq<sup>n</sup>s, we need to satisfy,

Solving the vacuum eq<sup>n</sup>s.

i) let  $(\Sigma, \bar{g}, \bar{k})$  be vacuum initial data.

I.e.,  $\Sigma$  -  $n$ -fold,  $\bar{g}$  - Riem. on  $\Sigma$ ,

$\bar{k}$  - ~~symmetric~~ sym. covariant 2-tensor field on  $\Sigma$ .

$(\bar{g}, \bar{k})$  satisfies  $(***)$ .

2)  $(\bar{x}, \mathcal{U})$  local coords on  $\Sigma \rightsquigarrow (x, \mathbb{R} \times \mathcal{U})$ .

local coords on  $\mathbb{R} \times \mathcal{U}$ .  $x = (x^0, x^1, \dots, x^n)$ .

3) Choose gauge source functions on  $\mathbb{R} \times \mathcal{U}$ .

4) Choose initial data  $g_{\mu\nu}|_{t=0}$ ,  $\partial_t g_{\mu\nu}|_{t=0}$ .  
( $g_{00}|_0 = -1$ ,  $g_{0i}|_0 = 0$ ).

so that  $D_\mu|_{t=0} = 0$ , and which induce  $\bar{g}$  and  $\bar{k}$ .

5) Solve  $\hat{R}_{\mu\nu} = 0$  in a nbhd of  $\{0\} \times \mathcal{U}$ .

6) Since  $G_{\mu\nu} = -\nabla_{(\mu} D_{\nu)} + \frac{1}{2} (\nabla^\sigma D_\sigma) g_{\mu\nu}$ .  
and  $D_\alpha|_{t=0} = 0$ , and constraint eq<sup>s</sup> held.

$$\Rightarrow \nabla_\alpha D_\beta|_{t=0} = 0.$$

[Constraint eqs  $\Rightarrow$  curvature  $G_{\mu\nu}$  is 0. <sup>both term & second</sup>  
+  $g_{\mu\nu}$  disappears.]

~~constraint~~ and also  $0 = \nabla_{(\mu} D_{\nu)} = 0$ .

Since  $D$  satisfies hom wave  $\Rightarrow \nabla_\alpha D_\beta = 0$ .  $\forall t$ .

F) Patch together to get global sol<sup>n</sup>.

Local existence:

Th<sup>4</sup>. Let  $(\Sigma, \bar{g}, \bar{k})$  be vacuum init. (satisfy vacuum constraint eq<sup>s</sup>). Then  $\exists$  a sol<sup>n</sup>  $(M, g)$  to Einstein's vacuum equations and an embedding  $i: \Sigma \rightarrow M$  s.t.

$$(*) \quad i^*g = \bar{g}, \quad i^*k = \bar{k}, \quad k \text{ induced 2nd ff. in } i(\Sigma) \subset M \text{ by } g.$$

Moreover,  $i(\Sigma)$  is a Cauchy hypersurface in  $(M, g)$ .

$(M, g)$  - globally hyperbolic development of  $(\Sigma, \bar{g}, \bar{k})$ .  
(Because  $i(\Sigma)$  Cauchy).

Problem: There are infinitely many such developments, and some which are incompatible (\*). But uniqueness can be obtained if one asks for a globally hyperbolic maximal development.

↑ necessary, otherwise uniqueness is untrue.

Non-linear hyperbolic PDEs.

ODE's: Consider  $\begin{cases} \frac{dx}{dt}(t) = f(t, x(t)). \\ (*) \quad x(t_0) = x_0. \end{cases}$

$f$  continuous in a nbhd of  $(t_0, x_0)$ , then  $\exists$  solution.  
(typically non-unique). ①

If  $f$  is continuous and Lipschitz w.r.t. 2nd argument, then: ~~set~~

• If  $x_1, x_2$  are sol<sup>s</sup> on  $\mathbb{R} \cap I_1, I_2 \subset \mathbb{R}$ ,  $t_0 \in I_1 \cap I_2 \neq \emptyset$ .  
 then  $x_1(t) = x_2(t) \quad \forall t \in I_1 \cap I_2$ .

→ Maximal existence interval:  $I_{\max} = (T_{\min}, T_{\max})$ .

$$I_{\max} = \bigcup_{\alpha} I_{\alpha} \quad (\text{all intervals of existence } t_0 \in I_{\alpha}).$$

Pf. of local existence:

(1) Setup iteration:  $x_0(t) = x_0, \quad x_n(t) = x_0 + \int_{t_0}^t f(s, x_{n-1}(s)) ds$ .

(2) Prove convergence in  $C_b(I, \mathbb{R}^d)$ .

Remark In more general settings, replace  $\mathbb{R}^d$  by  $X \leftarrow$  some appropriate Banach space

Global existence: Either  $T_{\max} = \infty$  or  $\lim_{t \rightarrow T_{\max}^-} |x(t)| = \infty$ .

→ This is a continuation criterion which is very important in non-linear PDE.

"The solution can be continued as long as it remains bounded."

Ex. Consider  $\ddot{x} + x^3 = 0$ , with  $x_1 = \dot{x}, x_2 = x$ ;

$$\partial_t \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} x_2 \\ -x_1^3 \end{pmatrix}.$$

$$\text{Moreover, } \partial_t \left( \frac{1}{2} x_1^2 + \frac{1}{4} x_2^4 \right) = \{ \text{expression} \} = 0.$$

So,  $\frac{1}{2} x_1^2 + \frac{1}{4} x_2^4$  remains bounded  $\Rightarrow$  global existence  $(T_{\min}, T_{\max}) = (-\infty, \infty)$ .

Figure: Get int. criteria for weak  $|x(t)| \rightarrow \infty \Rightarrow$  low reg. (2)

## Bootstrap arguments.

Consider  $\dot{x} + 2\alpha x + \beta^2 x = f(x, \dot{x})$

where  $\alpha, \beta > 0$ ,  $\alpha^2 < \beta^2$  and

$$|f(x, \dot{x})| \leq C (|x|^2 + |\dot{x}|^2).$$

1) Do small perturbations of zero initial data yield future global sol<sup>n</sup>s.

2) What are the future asymptotics.

→ use energy methods.

Energy: Consider  $\dot{x} + 2\alpha x + \beta^2 x = 0$ , and

$$E = \frac{1}{2} (\dot{x}^2 + 2\alpha x \dot{x} + \beta^2 x^2).$$

Then, 1)  $\frac{dE}{dt} = -2\alpha E$ , (decays exponentially).

$$2) \quad |2\alpha x \dot{x}| \leq \frac{\alpha}{\beta} \cdot 2|\beta x \dot{x}| \leq \frac{\alpha}{\beta} (\dot{x}^2 + \beta^2 x^2).$$

$$\Rightarrow E \text{ pos. def.}$$

$$\Rightarrow \frac{1}{2} \left(1 - \frac{\alpha}{\beta}\right) (\dot{x}^2 + \beta^2 x^2) \leq E \leq \frac{1}{2} \left(1 + \frac{\alpha}{\beta}\right) (\dot{x}^2 + \beta^2 x^2).$$

$$\Rightarrow \exists c_1, c_2 > 0.$$

$$c_1 (\dot{x}^2 + x^2) \leq E \leq c_2 (\dot{x}^2 + x^2).$$

$$c_i = c_i(\alpha, \beta).$$

Note: (1) + (2)  $\Rightarrow E(t) = e^{-2\alpha t} E(0)$ .

and  $|x(t)| + |\dot{x}(t)| \leq C e^{-\alpha t} \quad \forall t \geq 0.$

For the non-linear eq<sup>n</sup>:

$$\frac{dE}{dt} = -2\alpha E + (\dot{x} + \alpha x) f,$$

However,  $|\dot{x} + \alpha x| \leq C(x^2 + \dot{x}^2)^{\frac{1}{2}} \leq C E^{\frac{1}{2}}$ .

and  $|f| \leq C(x^2 + \dot{x}^2) \leq C E$ .

$$\Rightarrow \frac{dE}{dt} \leq -2\alpha E + C E^{\frac{3}{2}}.$$

Letting  $E_{\alpha}(t) = e^{2\alpha t} E(t)$ ,

$$\frac{dE_{\alpha}}{dt} \leq C e^{-\alpha t} E_{\alpha}^{\frac{3}{2}}(t). \quad \text{--- --- --- --- --- } \textcircled{2}$$

The main ingredients of a bootstrap argument:

- 1) Bootstrap assumption: An assumption on the solution in  $[0, T]$ . Here:
  - a) sol<sup>n</sup>s exist on  $[0, T]$
  - b)  $E_{\alpha}^{\frac{1}{2}}(t) \leq \varepsilon$  on  $[0, T]$ . ( $\varepsilon$  to be determined later.)

- 2) An assumption concerning the initial data. Here:
$$E_{\alpha}^{\frac{1}{2}}(0) \leq C_0 \varepsilon.$$

- 3) Prove that 1) + 2)  $\Rightarrow$  1) can be extended a little more than  $T$ , i.e.,  $[0, T + \delta]$ .

$$\Rightarrow \text{--- } T = \infty.$$

If  $0 \leq t \leq T$ , then  $E_x(t) \leq E_x(0) + \int_0^t \frac{c e^{-\alpha s} \varepsilon^3}{E_x(s)} ds$

$\leq C_0 \varepsilon^2 + \int_0^t c e^{-\alpha s} \varepsilon^3 ds$

$\leq C_0 \varepsilon^2 + \frac{c}{\alpha} \varepsilon^3$

Choose  $C_0 = \frac{1}{2}$ ,  $\frac{4c}{\alpha} \varepsilon = 1 \Rightarrow E_x(t) \leq \frac{1}{2} \varepsilon^2$ .

$\Rightarrow$  Set on which Lipschitz assumptions exist is connected, closed and by it is open  $\Rightarrow \forall [0, T] = [0, \infty)$

Let  $A$  be the set of  $t \geq 0$  s.t. the Lipschitz assumptions hold on  $[0, t]$ . Then  $A$  is non-empty, closed and open. Thus sol<sup>n</sup> exist globally to the future and  $E_x^{\frac{1}{2}}(t) \leq \varepsilon \quad \forall t \geq 0$ .

$\Rightarrow |x(t)| + |u(t)| \leq c \varepsilon e^{-\alpha t} \quad \forall t \geq 0$  ] sol<sup>n</sup> decays exponentially.

Prob Continuation criteria gives global existence but without any control on the solution. The initial data can be large. But every method gives decay information, cert: initial data has to be small.

# Non-linear hyperbolic PDEs.

Want to solve:

$$(1) \quad g^{\alpha\beta}(u) \partial_\alpha \partial_\beta u = F(u, \partial u).$$

Solve (2) given  $u(0, \cdot) = u_0$ ,  $u_t(0, \cdot) = u_1$ .

For simplicity, (1) 
$$\begin{cases} \square u = F(u, \partial u). \\ u(0, \cdot) = u_0. \\ u_t(0, \cdot) = u_1. \end{cases}$$

Iterate:

Let  $u_0(t, x) = u_0(x)$ , solve

$$\begin{cases} \square u_{n+1} = F(u_n, \partial u_n). \\ u_{n+1}(0, \cdot) = u_0. \\ \partial_t u_{n+1}(0, \cdot) = u_1. \end{cases}$$

Q: Does the sequence  $u_n$  converge? In what space?

$C^k$  spaces? If the i.d. are in  $C^{k+1} \times C^k \ni (u_0, u_1)$

the corresponding sol<sup>n</sup> to  $\square u = 0$  is typically

not  $C^{k+1}$ .

$(1+1)$  dim, this is ok. But  $(d+1)$ ,  $d \geq 2$ , this is bad.

Real analyticity:  $\rightarrow$  choosing  $f$  such in which changes  
everything  $\rightarrow$  wrecks finite speed of prop, destroys  
causality.

Idea: Commit space for equation itself  $\rightarrow$   
Back to energy.



# Energies

$\Gamma_m = 0$ . (say, just in  $\mathbb{R}^d$ ).

let  $E = \frac{1}{2} \int_{\mathbb{R}^d} (|u_t|^2 + |\nabla u|^2) dx$ .

Diff + int by parts  $\Rightarrow \dot{E} = 0$ .

Demand that  $u_0, u_1$  be s.t.:

$$\sum_{|\alpha| \leq k+1} \|\partial^\alpha u_0\|_2 < \infty, \quad \sum_{|\alpha| \leq k} \|\partial^\alpha u_1\|_2 < \infty$$

Reading off from Energy.

Natural function spaces: Sobolev spaces  $H^k(\mathbb{R}^d) \ni u$ .

$$\sum_{|\alpha| \leq k} \int_{\mathbb{R}^d} |\partial^\alpha u(x)|^2 dx < \infty.$$

lot of bad news  $\Rightarrow$  For  $I$  small enough,  $0 \in I$ ,  $u_n \rightarrow u$  in the space.

time derivative  $\rightarrow C_b^1(I, H^k(\mathbb{R}^d)) \cap C_b^0(I, H^{k+1}(\mathbb{R}^d))$ .

Note: This is for  $k$  large enough.

If  $I_{\max} = (T_{\min}, T_{\max})$  is the maximal interval of existence, then either:

- (I)  $T_{\max} = +\infty$  or
- (II)  $\|u(t, \cdot)\|_{L^1} + \|\partial_x u(t, \cdot)\|_{C^0}$  is unbounded on  $[0, T_{\max})$ .

Continuation criterion in this context

This is for a large class of equations. But maybe

you can drop  $\|\partial_x u(t, \cdot)\|_{C^0}$  term can be dropped for a particular eqn.

Ex. For  $\square_n = F(n)$ , it's sufficient to control

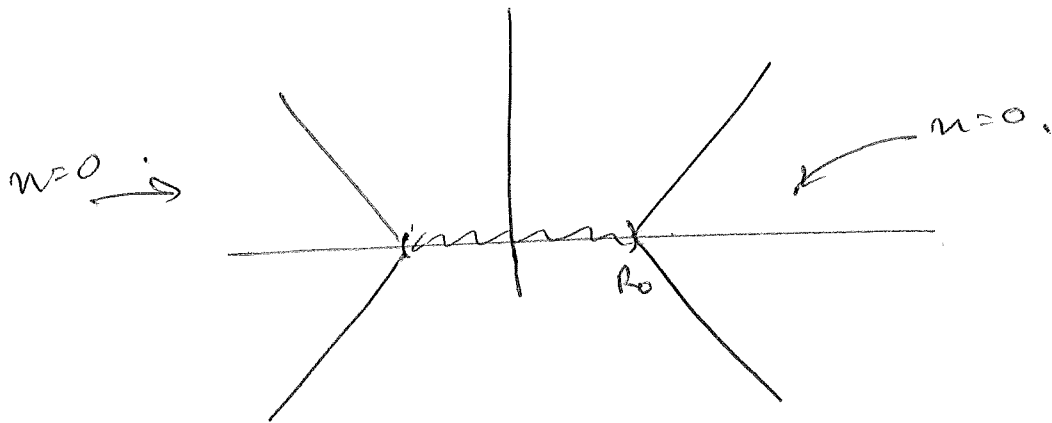
$\|u(t, \cdot)\|_{L^\infty}$ . Consider

$$\begin{cases} u_t + u u_x = -u^k & k \text{ odd} \\ u(0, x) = f(x) \\ u_x(0, x) = g(x) \end{cases}$$

$f, g \in C_0^\infty(\mathbb{R})$ ,  $f(x) = g(x) = 0 \quad \forall |x| \geq R_0$ ,

then  $E = \int_{\mathbb{R}} (u_x^2 + u^2 + \frac{2}{k+1} u^{k+1}) dx$  is conserved.

Moreover if  $R(t) = R_0 + |t|$ ,  $u(x, t) = 0$  outside  $R(t)$  for  $|x| \geq R(t)$ .



Estimate:

$$\begin{aligned} |u(t, x)| &= \left| \int_0^x u_x(t, \xi) d\xi \right| \\ &\leq \int_{-R(t)}^{R(t)} |u_x(t, \xi)| d\xi \\ &\leq \int_{-R(t)}^{R(t)} \frac{1}{2} (1 + u_x^2(t, \xi)) d\xi \\ &\leq R(t) + \frac{1}{2} E. \end{aligned}$$

grows linearly  $\nearrow$  conserved.

cannot blow up in finite time  $\Rightarrow$

global existence.

Rank  $f, g$  do not even need to be elliptic  
 supported b/c of finite speed of prop.  
 But, we don't know anything about the  
 solution.

Stability. Consider  $\square u = F(u, Du)$  where

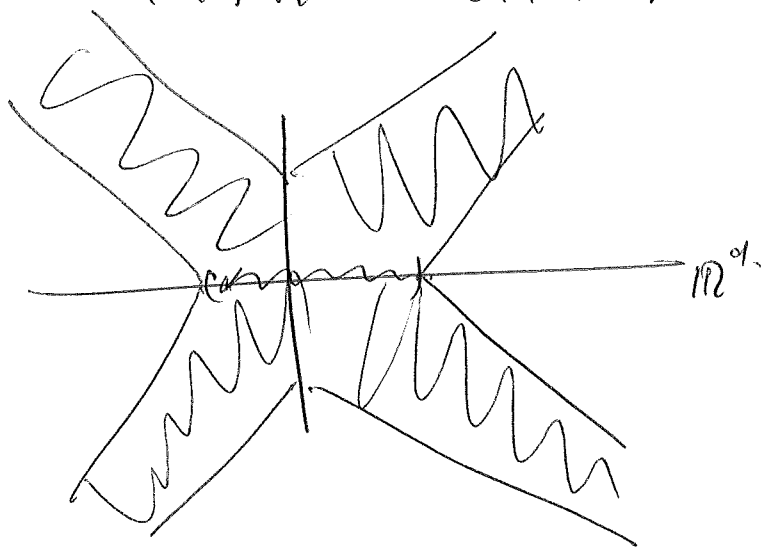
$$|F(u, Du)| \leq C(|u|^2 + |Du|^2).$$

Is  $u = 0$  a stable sol<sup>n</sup>?

Consider  $\begin{cases} \square u = 0 & (d+1) - d|u| \\ u(0, x) = f(x) & u_x(0, x) = g(x) \end{cases}$

where  $f(x) = g(x) = 0$  for  $|x| \geq R$ .

1) If  $d$  is odd:  $u(t, x) = 0$  if  $||t| - |x|| \geq R$ .  
 $|u(t, x)| \leq C(1 + |t|)^{-\frac{d-1}{2}}$ .



2) If  $d$  is even,  $u(t, x) = 0$  if  $|x| \geq |t| + R$  and

$$|u(t, x)| \leq C(1 + |t|)^{-\frac{d-1}{2}} (1 + |t| - |x|)^{\frac{d-1}{2}}$$

← not sure about this exp.

if you fear from  
 light cone, get extra decay. (9)

Ex. If  $d \geq 4$ , and  $F(u, \partial u) = G(\partial u)$ , then,

global existence holds for small data.

→ Stability is better in high dimensions because there are more directions to disperse in. But were really interested in  $d=3$ .

For inspiration, look at:

$$(2) \quad \Box u = -u_t^2$$

$$(3) \quad \Box u = u_t^2 - |\nabla u|^2$$

} toy models for Einstein

with i.o.d.  $u(0, x) = \varepsilon f(x)$ ,  $u_t(0, x) = \varepsilon g(x)$ .

$\exists$  constant  $C > 0$  s.t. for small  $\varepsilon$ ,  $\exists$  smooth

sol<sup>n</sup> to (2) and ~~to~~ smooth sol<sup>n</sup> to (3) on

$T_\varepsilon = e^{C/\varepsilon}$  ← almost global existence!

(2) Blow up regardless of initial data } why?  
 (3) Existence for small  $\varepsilon$ . } (arrow pointing to why?)

Solution dissipates quicker along tangential direction to light cone, not so fast in transverse directions.

(2) turns out to have a quadratic non-linearity in transverse dirn. whereas (3) does not

→ Importance of null condition - (clear conceptual reason)

Maximal globally hyperbolic development.

Def. Given vacuum initial data  $(\Sigma, \bar{g}, \bar{k})$ , a maximal globally hyperbolic development (MGHD) is a globally hyperbolic development  $(M, g)$  with embedding  $\iota: \Sigma \rightarrow M$  s.t. if  $(M', g')$  is any other glob. hyp. dev. then there is a map  $\gamma: M' \rightarrow M$  which is a diffeomorphism onto its image and s.t.

$$\gamma^*g = g', \quad \gamma \circ \iota' = \iota.$$

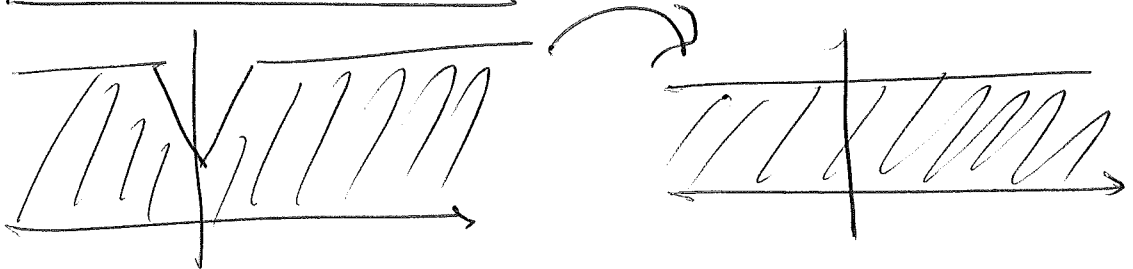
Remark. Stronger requirement than usual maximality, but this is automatically unique.

Th<sup>h</sup>. Given vacuum i.c.d., there is a unique MGHD.

Main analytical ingredients:

(I) local existence.

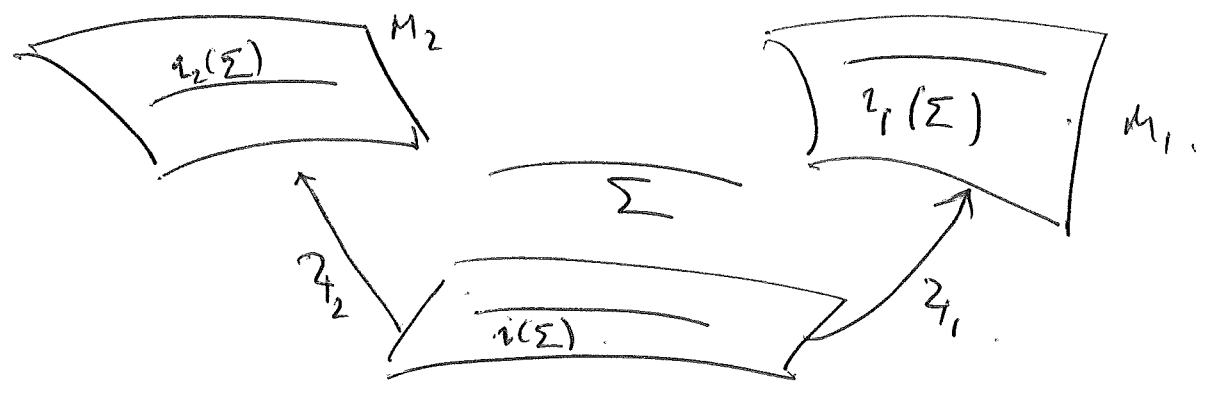
(II) local uniqueness. Cartan embed.



Th<sup>h</sup>. Let  $(\Sigma, \bar{g}, \bar{k})$  be vacuum i.c.d. and let  $(M_j, g_j)_{j=1,2}$  be globally hyperbolic developments with embeddings  $\iota_j: \Sigma \rightarrow M_j$ . Then there is a GHD  $(M, g)$  ①

with embedding  $i: \Sigma \rightarrow M$  and maps  $z_j: M \rightarrow M_j$   
 s.t.  $z_j$  diffeomorphism onto their images and

$$z_1 \circ z_2^{-1} = g_1 \text{ and } z_1 \circ i = i_1$$



- Idea of Pf:
- 1) Construct appropriate local coordinate neighborhoods of points  $z_j(\Sigma)$  such that the corresponding contracted Christoffel symbols coincide
  - 2) Since initial data are the same and PDE's are the same, the corresponding change of coordinates is a local isometry, preserving the i.d.
  - 3) Patching together  $\leadsto$  an isometry of a globally hyperbolic neighborhood of  $z_2(\Sigma)$ .

Existence of an MGHHD:

- Fix i.d.  $(\Sigma, \bar{g}, \bar{k})$ .
- Let  $\mathcal{M}$  denote the isometry classes of globally hyperbolic developments (can argue this is a set!).
- $\mathcal{M}$  is partially ordered:  
 $[M_1, g_1] \leq [M_2, g_2]$  If  $\exists$  isometric embedding  $(M_1, g_1) \hookrightarrow (M_2, g_2)$  preserving inclusion of  $\Sigma$  (2)

by  $\gamma: M_1 \rightarrow M_2$   $\gamma \circ i_1 = i_2$ .

• Every totally ordered subset of  $\mathcal{E}M$  has upper bound.

$[M_\alpha, g_\alpha]$  totally ordered.

Then take union over  $\alpha$  and start making identifications.  
 $\Rightarrow$  again infed with metric that solves vacuum Einstein.

Zorn's lemma  $\Rightarrow \exists$  maximal element.

Let  $(M, g)$  be a maximal element. Let  
 $(N, h)$  be any GHD. Want to prove  $[N, h] \leq [M, g]$ .

Let  $C(N, M)$  be the set of pairs  $(U_N, \gamma)$  s.t.

a)  $U_N$  is open, containing  $\gamma_N(\Sigma)$  Cauchy hypersurface.  
in  $(U_N, h)$ .

b)  $\gamma: U_N \rightarrow M$  diffeo onto image s.t.  $\gamma \circ \gamma_N = \gamma_M$ .

Note:  $C(N, M) \neq \emptyset$  by local uniqueness.

• If  $(U_i, \gamma_i)$  are two elements of  $C(N, M)$ ,

then  $\gamma_1 = \gamma_2$  on  $U_1 \cap U_2$ .

$\Rightarrow$  there is a partial ordering by set inclusion.

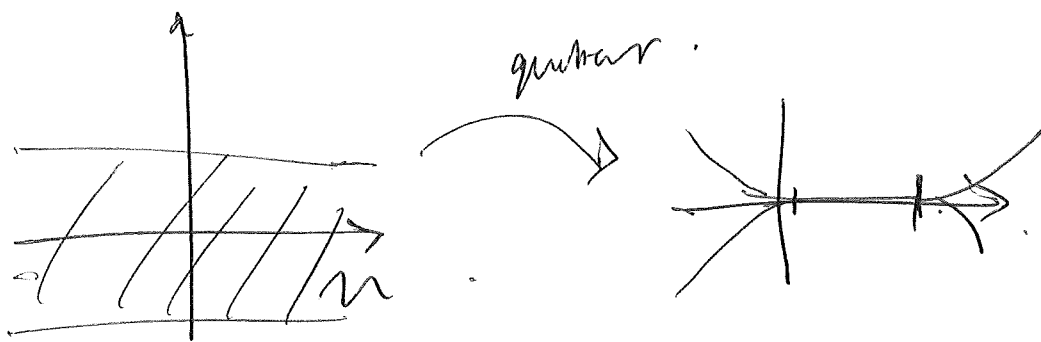
In fact, there is a unique maximal element  $(U, \gamma)$ .

Proof  $U = N$ : • include  $N \perp M$ .

• Identify  $u$  with  $\gamma(u)$ .  $\rightarrow$  equivalence relation.

$\rightarrow$  quotient  $\tilde{\pi}$ .

$\tilde{M} \rightarrow$  might not be Hausdorff !!!



But assume  $\tilde{M}$  non-Hausdorff  $\Rightarrow \mathcal{U}$  non-maximal.

$(\tilde{M}, \tilde{g})$  is a GHD extension of  $(M, g)$ . But

$(M, g)$  maximal  $\Rightarrow [M, g] \leq [M, g]$ ,  $\tilde{M}$  can be embedded into  $M$ . By uniqueness,  $\mathcal{U}$  can be embedded into  $\tilde{M} \Rightarrow [N, h] \leq [M, g]$ .

Proof of this: Assume  $\tilde{M}$  non-Hausdorff. The non-Hausdorff points  $\subseteq \partial \mathcal{U} \cup \partial \mathcal{Z}(u)$ . If  $H$  is the set of non-Hausdorff points in  $\partial \mathcal{U}$ , there is a map  $f: H \rightarrow \partial \mathcal{Z}(u)$ .

Construct extension: want to prove  $\exists$  spacelike hypersurface, say  $\bar{S}$  in  $N$  and a  $p \in H$  s.t.  $\bar{S} \setminus \{p\} \subset \mathcal{U}$ .

• prove that  $\mathcal{Z}(\bar{S} \setminus \{p\}) \cup \{f(p)\}$  is a spacelike hypersurface in  $M$ ; and  $\mathcal{Z}$  extends to a  $C^\infty$  map from  $\bar{S}$  to its image.

• appeal to local uniqueness and attaching  $\mathcal{U}$ , can be extended.



## Future global existence

Consider  $g = -dt^2 + e^{2Ht} \bar{g}$  in  $\mathbb{R} \times \mathbb{T}^n$ ;

$H > 0$  const,  $\bar{g}$  flat metric on  $\mathbb{T}^n$ .

Sol<sup>n</sup> to vacuum Einstein with pos cosmological const.

$$G + \Lambda g = 0 \quad \text{where } \Lambda = \frac{n(n-1)}{2} H^2.$$

Question: Is this sol<sup>n</sup> future globally non-linearly stable?

• I.e., future global development stable, geodesically complete etc.?

Motivation: Cosmological "no hair" conjecture.

1) Choose an eq<sup>n</sup>. Naïve choice (I)  $\hat{R}_{\mu\nu} = \frac{R}{n-1} \Delta g_{\mu\nu}$ .

where  $F_{\mu}$  are contracted Christoffel symbols of the background.

but (II)  $F_{\mu} = n H g_{0\mu}$ .

Here, we have:

$$(3) \quad \hat{R}_{\mu\nu} - \frac{2}{n-1} \Delta g_{\mu\nu} + M_{\mu\nu} = 0,$$

where  $M_{00} = -2H g^{0\mu} \mathcal{D}_{\mu}$ ,  $M_{0i} = 2H \mathcal{D}_i$ ,  $M_{ij} = 0$

$$\mathcal{D}_{\mu} = F_{\mu} - T_{\mu}.$$

• (3) is a perfectly good system of hyperbolic PDEs.

• If the i.d. satisfy the constraints, you can set up i.d. for (3) so that the resulting sol<sup>n</sup> to (3) solves  $G + \Delta g = 0$ .

• Divide the terms involving at most first derivatives "irrelevant terms": those involving two factors of the type  $\partial_x g_{ij}, -2H g_{ij}, g^{00} + 1, g_{00} + 1, g_{0i}, g^{0i}, \partial_0 g_{00}, \partial_0 g_{0i}, \partial_i g_{00}$ .

"Relevant terms": Terms involving at most one ~~of~~ such factor.

$$\Rightarrow (4) \quad \hat{\square}_g u + (n+2)H \partial_0 u + 2u H^2 + \Delta_{\text{irr}} u = 0$$

$\nearrow$   
irrelevant.

$$(5) \quad \hat{\square}_g u_m + H \partial_0 u_m + 2(n-2)H^2 u_m - 2Hg^{ii} \Gamma_{imj} + \Delta_{\text{ov}} u_m = 0.$$

$$(6) \quad \hat{\square}_g h_{ij} + n H \partial_0 h_{ij} + \Delta_{ij} h_{ij} = 0.$$

where  $\Delta_{\alpha\beta}$  - irrelevant terms (quadratic, vanish on background)

$$\hat{\square}_g = -g^{\alpha\beta} \partial_\alpha \partial_\beta, \quad n = g_{00} + 1, \quad n_i = g_{0i}, \quad h_{ij} = e^{-2Ht} g_{ij}.$$

Ex Replace  $\hat{\square}_g$  with  $\partial_t^2 - e^{-2Ht} \Delta$ , consider.

$$\partial_t^2 v - e^{-2Ht} \Delta v + \alpha H \partial_t v + \beta H^2 v = F.$$

where  $\alpha > 0, \beta \geq 0$  are constants.

Consider: 
$$E_{r,s} = \frac{1}{2} \int_{\mathbb{T}^n} [v_t^2 + e^{-2Ht} (|v|^2 + 2\gamma H v v_t + \delta H^2 v^2)] dx$$

If  $\beta = 0$ , choose  $\gamma = \delta = 0$ , if  $\beta > 0$ , choose  
 $\gamma = \frac{\alpha}{2}$ ,  $\delta = \beta + \frac{\alpha^2}{2}$ .

If  $\beta > 0$ ,  $\exists C > 1$ :

$$\frac{1}{C} \int_{\mathbb{T}^n} (v_t^2 + e^{-2Ht} (|v|^2 + H^2 v^2)) dx \lesssim E_{r,s} \leq C$$

Regardless of whether  $\beta = 0$  or not,  $\exists \eta > 0$ :

$$\partial_t E_{r,s} \leq -\eta E_{r,s} + \int_{\mathbb{T}^n} (v_t + \alpha v) F dx.$$

a)  $F = 0$  &  $\beta > 0 \Rightarrow$  Exp. decay + Sob embedding.

$$\Rightarrow \|v_t(t, \cdot)\|_{C^k} + \|v(t, \cdot)\|_{C^k} \leq C_k e^{-\alpha H t}.$$

b)  $F = 0$ ,  $\beta = 0$ ; then  $\|v_t(t, \cdot)\|_{C^k} \leq C_k e^{-\alpha H t}$ .

$$\Rightarrow \exists v_\infty \in C^\infty(\mathbb{T}^n). \quad \|v(t, \cdot) - v_\infty\|_{C^k} \lesssim e^{-\alpha H t}.$$

Similar estimates can be derived for

$$\square_g v + \alpha H v_t + \beta H^2 v = F.$$

In fact, let

$$E_{r,s} [v] = \frac{1}{2} \int_{\mathbb{T}^n} [-g^{00} v_t^2 + g^i j v \partial_i v \partial_j v - 2\gamma H g^{00} v v_t + \delta H^2 v^2] dx$$

$$\text{Let } E_{r,s,k} = \sum_{|\alpha| \leq k} E_{r,s} [\partial^\alpha v].$$



Prove:

$$(I) \frac{d \hat{H}_{ep,k}}{dt} \leq -a \hat{H}_{ep,k} + C H_{\varepsilon} e^{-aHt} H_k^{\frac{1}{2}} \hat{H}_{ep,k}^{\frac{1}{2}}$$

$$(II) \frac{d \hat{H}_{s,k}}{dt} \leq -a \hat{H}_{s,k} + \underbrace{C H \hat{H}_{m,k}^{\frac{1}{2}} \hat{H}_{s,k}^{\frac{1}{2}}}_{C H_{\varepsilon} e^{-aHt} H_k^{\frac{1}{2}} \hat{H}_{s,t}^{\frac{1}{2}}} + \text{loss}$$

$$(III) \frac{d \hat{H}_{m,k}}{dt} \leq H e^{-aHt} \hat{H}_{m,k} + C H_{\varepsilon} e^{-aHt} H_k^{\frac{1}{2}} \hat{H}_{m,k}^{\frac{1}{2}}$$

Adding these: you lose!

But this is a system of eq<sup>n</sup>s.  $\rightarrow$  improve bootstrap for each (I) and (II) and plug improved estimate into  .

Idea: improve bootstrap for some components before using that for others.