

Lecture 17.

Chern - Gauss - Bonnet Theorem:

$T: V_1 \rightarrow V_2 \quad \dim V_1, \dim V_2 < \infty$

$$\underbrace{\dim \mathcal{N}(T) - \dim(V_2/R(T))}_{i(T) = \text{index of } T} = \underbrace{\dim V_1 - \dim V_2}_{\text{indep of } T}.$$

Motivated by this, generalize to infinite dim.

Def<sup>n</sup>.  $T: H_1 \rightarrow H_2$  hdd lin. b/w Hilbert spacs.

is Fredholm if

(1)  $R(T)$  is closed,

(2)  $\mathcal{N}(T)$ ,  $\text{coker}(T) = H_2/R(T)$  are finite dim.

Ex.  $M$  cpt, Riem mfld. Say  $D_M = d_M + S_M$ .

as operator for  $H^1(M, \Lambda^1 M) \rightarrow L_2(M, \Lambda^1 M)$ .

is Fredholm

Problem. Relate  $i(D_M)$  to geometry and topology of  $M$ .

Note:  ~~$i(\mathbb{D}_M) = 0$~~   $i(\mathbb{D}_M) = 0$  always, because.

$$\dim \ker(\mathbb{D}_M) = \dim \operatorname{coker}(\mathbb{D}_M). \quad \text{Yes}$$

$$\text{because } \mathbb{D}_M^* = -\mathbb{D}_M.$$

Instead, consider  $\mathbb{D}_M: L_2(M, \Lambda^{\text{ev}} M) \rightarrow L_2(M, \Lambda^{\text{od}} M)$ .

Then,

$$i(\mathbb{D}_M: \Lambda^{\text{ev}} \rightarrow \Lambda^{\text{od}}) = \dim(N(-1)) - \dim(R(-1))^{\perp}.$$

$$= \dim(N(\mathbb{D}_M: \Lambda^{\text{ev}} \rightarrow \Lambda^{\text{od}})) - \dim(N(\mathbb{D}_M: \Lambda^{\text{od}} \rightarrow \Lambda^{\text{ev}}))$$

$$\text{Topology} \rightarrow \left[ = (\beta_0 + \beta_2 + \dots) - (\beta_1 + \beta_3 + \dots) \right]$$

$$=: \chi(M). \quad \leftarrow \text{Euler Characteristic.}$$

Note:  $\ast: L_2(M, \Lambda^{\text{ev}}) \rightarrow L_2(M, \Lambda^{\text{od}})$  and.

So,  $\beta_k = \beta_{n-k}$  and hence, if

$\dim M = n = \text{oddd}$ , then  $i(\mathbb{D}_M: \Lambda^{\text{ev}} \rightarrow \Lambda^{\text{od}}) = 0$ .

So, why consider  $\dim M = n = \text{even} = 2m$ .

We use the heat equation method. (Gilkey's book).

$$i(\mathbb{D}_M: \Lambda^{ev} \rightarrow \Lambda^{od}) = \dim N(\mathbb{D}_M|_{\Lambda^{ev}}) - \dim N(\mathbb{D}_M|_{\Lambda^{od}}).$$

$$\rightarrow = \dim \{N(\mathbb{D}_M^2|_{\Lambda^{ev}}) - \dim N(\mathbb{D}_M^2|_{\Lambda^{od}})\}.$$

$\mathbb{D}_M$  is normal

$$(*) \text{ Heat eq. method.} \rightarrow = \text{Tr}(e^{t\mathbb{D}_M^2}|_{\Lambda^{ev}}) - \text{Tr}(e^{t\mathbb{D}_M^2}|_{\Lambda^{od}}).$$

Can take limit

$\forall t > 0$

lim  
 $t \rightarrow 0^+$

to obtain local operator.

Key observation: A appropriate operator, then non-zero eigenvalues of  $A^*A$  and  $AA^*$  are the same.

$$\text{Tr}(e^{t\mathbb{D}_M^2}|_{\Lambda^{ev}}) = \underbrace{(1 + \dots + 1)}_{\text{Zero eigenvalue}} + (e^{t\lambda_1^2} + \dots + e^{-t\lambda_n^2} + \dots)$$

$$\text{Tr}(e^{t\mathbb{D}_M^2}|_{\Lambda^{od}}) = \underbrace{(1 + \dots + 1)}_{\text{Zero eigenvalue}} + (e^{-tS_1^2} + \dots + e^{-tS_n^2} + \dots)$$

Claim:  $\lambda_i = S_i^2$ , the infinite sum converges, and so (\*) holds!

Want to compute  $f_t := e^{tD_M^2} f$ , is the sol<sup>n</sup> to the heat equation.

$$\begin{cases} \partial_t f_t = D_M^2 f_t & t > 0 \\ f_t|_{t=0} = f. \end{cases} \quad t \rightarrow \infty$$

Model example:  $D_M^2 =$  standard Laplace operator in  $\mathbb{R}^n$ .

$$e^{t\Delta} f(x) = \frac{1}{(4\pi t)^{n/2}} \int_{\mathbb{R}^n} e^{-\frac{|x-y|^2}{4t}} f(y) dy. \quad f_t$$

for  $f: \mathbb{R}^n \rightarrow \mathbb{R}$ .

Ansatz: for  $e^{tD_M^2}$ :

$$H_t f(p) = \frac{1}{(4\pi t)^{n/2}} \int_M e^{-\frac{d(p,q)^2}{4t}} \sum_{u=0}^m t^u H^u(p,q) f(q) dq.$$

$f \in L_2(M; \Lambda^m)$ .

$d(p,q)$ : geodesic distance b/w  $p, q$ .

$H^u(p,q)$ :  $L(\Lambda^u M, \Lambda^u M)$ .

Remarks: ① The integral is only over the fibre  $P$ .

② We need info about  $H^u(p,q)$ .

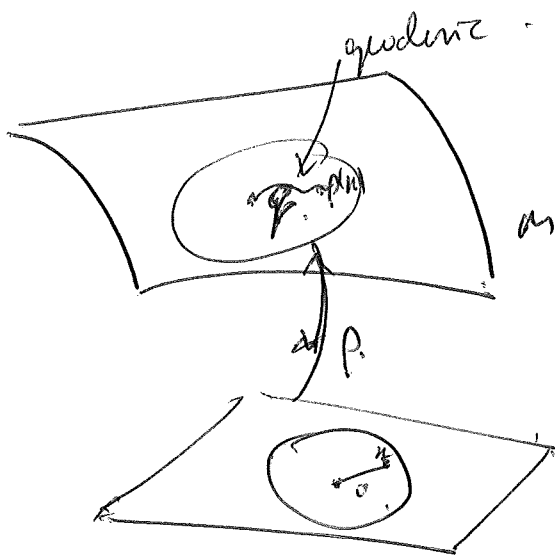
lemma 12.14.

$$(\partial_t - \mathbb{D}_m^2) H_t f(p) = \int_M \frac{1}{(2\pi t)^m} e^{-\frac{d^2(p,q)}{4t}}$$

$$\left( \frac{1}{t^{m+1}} \left( \nabla_{r_q} + \frac{1}{4} \operatorname{div}_q (\ln g_t) \right) H^k(p,q) \right) (\det g_t)|_p$$

$$+ \sum_{k=1}^m \frac{1}{t^{m+1-k}} \left( \nabla_{r_q} + \frac{1}{4} \operatorname{div}_q (\ln g_t) \right) H^k(p,q) + \sum_{k=1}^m \frac{1}{t^{m+1-k}} \left( \nabla_{r_q} + \frac{1}{4} \operatorname{div}_q (\ln g_t) \right) H^k(p,q)$$

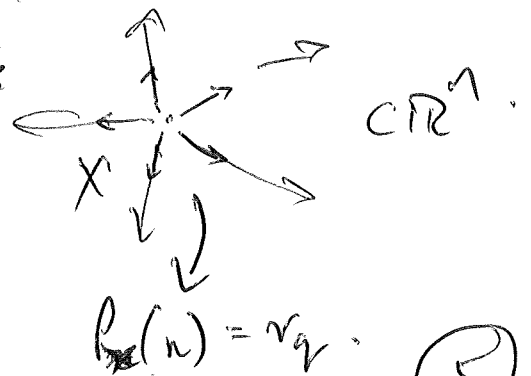
$$- \mathbb{D}_m^2 H^{k-1}(p,q) - \mathbb{D}_m^2 H^m(p,q) f(q) dq$$



Compute in normal coordinates!

$$\ln g = \ln(\det(g_{ij}(x))) \quad x \in \text{nbh of } p$$

$r_q =$  radial vector field  $X$  push forward by  $p$ .



$$p_*(r_q) = r_q \quad (5)$$

Want to find  $H^k(p, q)$  by asking.

$$\left\{ \begin{array}{l} (\nabla_{\dot{\gamma}_q} + \frac{1}{4} \partial_{\dot{\gamma}_q}(\ln g)) H^0(p, q) = 0 \quad (A) \\ (\nabla_{\dot{\gamma}_q} + \frac{1}{4} \partial_{\dot{\gamma}_q}(\ln g) + k) H^k(p, q) = 0 \quad (B) \end{array} \right.$$

$$-D_n H^{k-1}(p, q) = 0.$$

Def  $\tilde{H}^n(p, q)$ , for  $q$  close to  $p$ .

(I)  $p=q$ :  $\tilde{H}^0(p, q) = I$ . (because we want  $H_t f \rightarrow f$  as  $t \rightarrow 0$ )

~~(II)~~  $p \neq q$ :  $\tilde{H}^0(p, q)$  solves (A), which is an ODE since we're simply considering geodesic  $\gamma_q$ .

(II). Higher  $k$ , i.e.  $k \geq 1$ : Want  $\tilde{H}^k(p, q)$  determined by  $\tilde{H}^{k-1}$ , b/c.

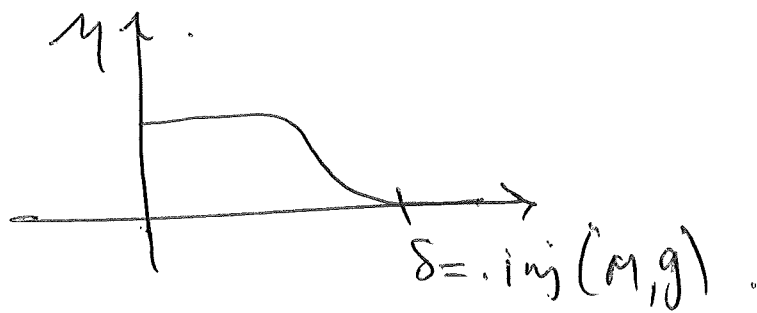
$\nabla_{\dot{\gamma}_q} + \frac{1}{4} \partial_{\dot{\gamma}_q}(\ln g) = 0$  in usual conditions.

~~for  $p \neq q$ :~~

For  $p$  and  $q$  far away,

$$H^k(p, q) = \eta(d(p, q)) \tilde{H}^k(p, q). \quad (6)$$

when



Now, compute:

$$(\partial_t - \mathbb{D}_n^2)(H_t f - e^{t\mathbb{D}_n^2} f)$$

$$= -\frac{1}{(4\pi)^m} \int_M e^{-\frac{d^2(p,q)}{4t}} H^m(p,q) f(q) dq.$$

no  $t$  dependence!

$$k_t(p,q) = \frac{1}{(4\pi)^m} e^{-\frac{d^2(p,q)}{4t}} \cdot H^m(p,q) \quad \text{~~is a function of } t \text{ and } q \text{.}~~$$

Claim  $\sup_{\substack{p, q \in M \\ t > 0}} k_t(p,q) < \infty$  along with some smoothness!

Integrate in  $t$ :

$$\{ H_t f - e^{t\mathbb{D}_n^2} f \} = \int_0^t e^{(t-s)\mathbb{D}_n^2} k_s f ds.$$

$$k_s f(p) = \int_M k_s(p,q) \cdot f(q) dq.$$

(7)

$$\|H_t - e^{-tD_M^2}\|_{\text{Tr}} \leq \int_0^t \|k_s e^{(t-s)D_M^2} k_s\|_{\text{Tr}} ds.$$

(Trace norm is Strasser!)  
 $\|\cdot\|_{\text{Tr}} = \sum_j \lambda_j$  of singular values.

$$\leq \int_0^t \underbrace{\|e^{(t-s)D_M^2}\|_{L^2 \rightarrow L^2}}_{\leq 1} \cdot \underbrace{\|k_s\|_{\text{Tr}}}_{\leq C \text{ (By } \phi)} ds.$$

$$\leq Ct \rightarrow 0 \text{ as } t \rightarrow 0.$$

Go back to:

$$i(D_M: \Lambda^{\text{ev}} \rightarrow \Lambda^{\text{od}}) = \lim_{t \rightarrow 0^+} (\text{Tr}(e^{tD_M^2}|_{\Lambda^{\text{ev}}}) - \text{Tr}(e^{tD_M^2}|_{\Lambda^{\text{od}}}))$$

$$= \lim_{t \rightarrow 0^+} (\text{Tr}(H_t|_{\Lambda^{\text{ev}}}) - \text{Tr}(H_t|_{\Lambda^{\text{od}}}))$$

But  $H_t$  integral op., so, Tr is diag of kernels:

$$= \lim_{t \rightarrow 0^+} \int_M (\text{Tr}(H_t(q, q)|_{\Lambda^{\text{ev}}}) - \text{Tr}(H_t(q, q)|_{\Lambda^{\text{od}}})) dq.$$

$H_t(q, q)$  are matrices over each fibre  $q$ !



$$= \lim_{t \rightarrow 0^+} \frac{1}{(4\pi)^m} \sum_{k=0}^m \frac{1}{t^{m-k}} \int_M \left( \text{Tr}(H^k(q, q)|_{\text{ev}}) - \text{Tr}(H^k(q, q)|_{\text{od}}) \right) d\mu_q.$$

Claim. only term that can survive is  $k=m$ , because we have verified that the operators are trace class, and hence, if  $m \neq k$ , term vanishes, for this limit would blow up. This characterizes that  $H_t = e^{t\Delta_M^2}$  is trace class.

$$= \frac{1}{(4\pi)^m} \int_M \left( \text{Tr}(H^m(q, q)|_{\text{ev}}) - \text{Tr}(H^m(q, q)|_{\text{od}}) \right) d\mu_q$$

Remains to compute  $\text{Tr} H^m(q, q)$  restricted to  $\mathcal{A}^{\text{od}}$  and  $\mathcal{A}^{\text{ev}}$ . We don't want to define over solve for  $H^k$ ,  $k \leq m$ , because we only need trace information.

Go down to  $\mathbb{R}(\mathbb{R}^n) \cong \Delta \mathbb{R}^{2n} \leftarrow$  inner product with  $\text{sign} = 0$ .

$\{e_i, e_i^-\}_{\substack{\text{scn} \\ \text{scn}}}$ , a good basis to compute.